# Counting lattice walks by winding angle Séminaire de combinatoire Philippe Flajolet

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Counting lattice walks by winding angle

# LATTICE WALKS BY WINDING ANGLE

**The model:** count walks starting at **•** by end point and winding angle around **•**.

**Cell-centred lattices:** 



# LATTICE WALKS BY WINDING ANGLE

**The model:** count walks starting at **•** by end point and winding angle around **•**.

Vertex-centred lattices:



# LATTICE WALKS BY WINDING ANGLE

The model: count walks starting at ■ (by end point).



# **Left:** Cell-centred triangular lattice **Right:** Vertex-centred square lattice

# WHY STUDY WALKS BY WINDING ANGLE?

**Physics motivation:** Models a long-chain polymer growing in the vicinity of a rod

Bélisle, Berger, Brereton, Butler, Duplantier, Durrett, Faraway, Fisher, Frish, Grosberg, Hu, Le Gall, Privman, Redner, Roberts, Rudnick, Saluer, Shi, Spitzer, ...

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[Timothy Budd, 2017]: enumeration of square lattice walks (starting and ending on an axis or diagonal) by winding angle

- Method: Matrices counting paths, eigenvalue decomposition etc.
- Solution: Jacobi theta function expressions
- Corollaries:
  - Square lattice walks in cones (eg. Gessel walks)
  - Loops around the origin (without a fixed starting point)
  - Algebraicity results, asymptotic results, etc.

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#### This work:

- Completely different method
- Slightly different set of results
- Extension to three other lattices

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#### This talk: Kreweras lattice (mostly)

All results are in terms of the series:

$$T_k(u,q) = \sum_{n=0}^{\infty} (-1)^n (2n+1)^k q^{n(n+1)/2} (u^{n+1} - (-1)^k u^{-n})$$
  
=  $(u \pm 1) - 3^k q (u^2 \pm u^{-1}) + 5^k q^3 (u^3 \pm u^{-2}) + O(q^6).$ 

Related to Jacobi Theta function  $\vartheta(z,\tau) \equiv \vartheta_{11}(z,\tau)$  by

$$\vartheta^{(k)}(z,\tau) \equiv \left(\frac{\partial}{\partial z}\right)^k \vartheta(z,\tau) = e^{\frac{(\pi\tau-2z)i}{2}} i^k T_k(e^{2iz},e^{2i\pi\tau}).$$

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# PREVIEW: KREWERAS ALMOST-EXCURSIONS





Vertex-centred Kreweras lattice

On each lattice: count walks  $\bullet \to (\bullet \text{ or } \bullet)$ . Walks with length *n* and winding angle  $\frac{2\pi k}{3}$  contribute  $t^n s^k$ .

**Cell-centred:**  $E(t, s) = 1 + st + (s^2 + s^{-1})t^2 + ...$ **Vertex-centred:**  $\tilde{E}(t, s) = 1 + (s^{-1} + 4 + s)t^3 + ...$ 

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# PREVIEW: KREWERAS ALMOST-EXCURSIONS

Define 
$$T_k(u,q) = \sum_{n=0}^{\infty} (-1)^n (2n+1)^k q^{n(n+1)/2} (u^{n+1} - (-1)^k u^{-n})$$
  
 $= (u \pm 1) - 3^k q (u^2 \pm u^{-1}) + 5^k q^3 (u^3 \pm u^{-2}) + O(q^6).$   
Let  $q(t) \equiv q = t^3 + 15t^6 + 279t^9 + \cdots$  satisfy  
 $t = q^{1/3} \frac{T_1(1,q^3)}{4T_0(q,q^3) + 6T_1(q,q^3)}.$ 

The gf for cell-centred Kreweras-lattice almost-excursions is:

$$E(t,s) = \frac{s}{(1-s^3)t} \left( s - q^{-1/3} \frac{T_1(q^2, q^3)}{T_1(1, q^3)} - q^{-1/3} \frac{T_0(q, q^3) T_1(sq^{-2/3}, q)}{T_1(1, q^3) T_0(sq^{-2/3}, q)} \right)$$

The gf for vertex-centred Kreweras-lattice almost-excursions is:

$$\tilde{E}(t,s) = \frac{s(1-s)q^{-\frac{2}{3}}}{t(1-s^3)} \frac{T_0(q,q^3)^2}{T_1(1,q^3)^2} \left( \frac{T_1(q,q^3)^2}{T_0(q,q^3)^2} - \frac{T_2(q,q^3)}{T_0(q,q^3)} - \frac{T_2(s,q)}{2T_0(s,q)} + \frac{T_3(1,q)}{6T_1(1,q)} + \frac{T_3(1,q^3)}{3T_1(1,q^3)} \right)$$

Counting lattice walks by winding angle

# TALK OUTLINE

Focus: Kreweras lattice (for parts 1 to 4).

- Part 1: Decomposition of lattice  $\rightarrow$  functional equations
- Part 2: Solving the functional equations (with theta functions!)
- Part 3: Corollaries: walks restricted to cones
  - New result: Excursions with step set
- Avoiding a quadrant

- Part 4: Analysing the solution
  - Algebraicity results using modular forms
  - Asymptotic results
- Part 5: Square, triangular and king lattices
- Part 6: Final comments and open problems

# Part 1: Functional equations for Kreweras walks by winding angle



Cell-centred Kreweras lattice



Vertex-centred Kreweras lattice

**The model:** Count walks starting at **•** by end point and winding around **•**.



**The model:** Count walks starting at **•** by end point.





**The model:** Count walks starting at **•** by end point.



**Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$ **Note:**  $Q(0, 0) = E(t, e^{i\alpha})$ 

**The model:** Count walks starting at **•** by end point.



This example contributes *txy*. **Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$ 

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This example contributes  $t^2 y$ . **Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$ 

**The model:** Count walks starting at **•** by end point.



This example contributes  $t^3 x e^{i\alpha}$ . **Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$ 

**Note:**  $Q(0,0) = E(t, e^{i\alpha})$ 

**The model:** Count walks starting at **•** by end point.



This example contributes  $t^4 y^2$ . **Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$ 

**The model:** Count walks starting at **•** by end point.



This example contributes  $t^5 xy^3$ . **Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$ 

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This example contributes  $t^{6}xy^{2}$ . **Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$ 

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This example contributes  $t^7 xy$ . **Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$ 

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This example contributes  $t^8x$ . **Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$ 

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This example contributes  $t^9 y^2 e^{-i\alpha}$ . **Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$ 

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This example contributes  $t^{10}xy^3e^{-i\alpha}$ . **Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|}x^{x(p)}y^{y(p)}e^{i\alpha n(p)}$ 

# FUNCTIONAL EQUATION

**Recursion**  $\rightarrow$  **functional equation:** separate by *type* of final step.

$$Q(x, y) = 1 + xytQ(x, y) + \frac{t}{x}(Q(x, y) - Q(0, y)) + \frac{t}{y}(Q(x, y) - Q(x, 0))$$

$$+ e^{i\alpha}tQ(0,x)$$

(Final step goes through left wall)

$$+ e^{-i\alpha}tyQ(y,0)$$

(Final step goes through bottom wall)

The model: Count walks starting at the red point by end point.



**Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}.$ 

**Characterised by:** 

$$Q(x, y) = 1 + txyQ(x, y) + t\frac{Q(x, y) - Q(0, y)}{x} + t\frac{Q(x, y) - Q(x, 0)}{y} + e^{i\alpha}tQ(0, x) + e^{-i\alpha}tyQ(y, 0).$$

# Part 2: Solution (using theta functions)



Counting lattice walks by winding angle

# SOLUTION TO KREWERAS WALKS BY WINDING NUMBER

#### **Equation to solve:**

$$Q(x, y) = 1 + txyQ(x, y) + t\frac{Q(x, y) - Q(0, y)}{x} + t\frac{Q(x, y) - Q(x, 0)}{y} + e^{i\alpha}tQ(0, x) + e^{-i\alpha}tyQ(y, 0).$$

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#### Solution:

**Step 1:** Fix  $t \in [0, 1/3), \alpha \in \mathbb{R}$ . All series converge for |x|, |y| < 1.

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#### Solution:

**Step 1:** Fix  $t \in [0, 1/3)$ ,  $\alpha \in \mathbb{R}$ . All series converge for |x|, |y| < 1. **Step 2:** Write equation as K(x, y)Q(x, y) = R(x, y), where

$$K(x,y) = 1 - txy - t/y - t/x$$
  

$$R(x,y) = 1 - \frac{t}{x}Q(0,y) - \frac{t}{y}Q(x,0) + e^{i\alpha}tQ(0,x) + e^{-i\alpha}tyQ(y,0).$$
#### **Equation to solve:**

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**Step 3:** Consider the curve K(x, y) = 0 (Then R(x, y) = 0).

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**Step 3:** Consider the curve K(x, y) = 0 (Then R(x, y) = 0). Parameterisation involves the Jacobi theta function  $\vartheta(z, \tau)$ . **So far:** Similar to elliptic approaches to quadrant models [Bernardi, Bousquet-Mélou, Fayolle, Iasnogorodski, Kurkova, Malyshev, Raschel, Trotignon]

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# Jacobi Theta function $\vartheta(z,\tau)$

**Definition:** For  $\tau, z \in \mathbb{C}$ ,  $im(\tau) > 0$ ,

$$\vartheta(z,\tau) = \sum_{n=-\infty}^{\infty} (-1)^n e^{\left(\frac{2n+1}{2}\right)^2 i\pi\tau + (2n+1)iz}$$

Useful facts (for fixed  $\tau$ ):

• 
$$\vartheta(z + \pi, \tau) = -\vartheta(z, \tau)$$
  
•  $\vartheta(z + \pi\tau, \tau) = -e^{-2iz - i\pi\tau}\vartheta(z, \tau)$ 

# Parameterisation of K(x,y) = 0 using $\vartheta(z,\tau)$

**Definition:** For  $\tau, z \in \mathbb{C}$ ,  $im(\tau) > 0$ ,

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Useful facts (for fixed  $\tau$ ):

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$$\vartheta(z + \pi, \tau) = -\vartheta(z, \tau)$$
  
•  $\vartheta(z + \pi\tau, \tau) = -e^{-2iz - i\pi\tau}\vartheta(z, \tau)$ 

Parameterisation: The curve

$$K(x, y) := 1 - txy - t/y - t/x = 0$$

is parameterised by

$$X(z) = \frac{e^{-\frac{4\pi\tau i}{3}}\vartheta(z,3\tau)\vartheta(z-\pi\tau,3\tau)}{\vartheta(z+\pi\tau,3\tau)\vartheta(z-2\pi\tau,3\tau)} \text{ and } Y(z) = X(z+\pi\tau),$$

where 
$$\tau$$
 is determined by  $t = e^{-\frac{\pi\tau i}{3}} \frac{\vartheta'(0,3\tau)}{4i\vartheta(\pi\tau,3\tau) + 6\vartheta'(\pi\tau,3\tau)}$ 

#### **Equation to solve:**

$$K(x, y)Q(x, y) = R(x, y),$$

where

$$\begin{split} K(x,y) &= 1 - txy - t/y - t/x, \\ R(x,y) &= 1 - \frac{t}{x}Q(0,y) - \frac{t}{y}Q(x,0) + e^{i\alpha}tQ(0,x) + e^{-i\alpha}tyQ(y,0). \end{split}$$

#### **Equation to solve:**

$$K(x, y)Q(x, y) = R(x, y),$$

where

$$K(x,y) = 1 - txy - t/y - t/x,$$
  

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Define

$$X(z) = \frac{e^{-\frac{4\pi\tau i}{3}}\vartheta(z,3\tau)\vartheta(z-\pi\tau,3\tau)}{\vartheta(z+\pi\tau,3\tau)\vartheta(z-2\pi\tau,3\tau)}.$$

Then  $K(X(z), X(z + \pi \tau)) = 0.$ 

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Then  $K(X(z), X(z + \pi\tau)) = 0$ . Hence  $R(X(z), X(z + \pi\tau)) = 0$ (assuming  $|X(z)| \le 1$  and  $|X(z + \pi\tau)| \le 1$ ).

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#### **Equation to solve:**

$$K(x, y)Q(x, y) = R(x, y),$$

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**Plot of** 
$$\left\{ z : |X(z)| \in \left[0, \frac{1}{3}\right), \left(\frac{1}{3}, 1\right), (1, 3), (3, 9), (9, \infty] \right\}.$$



For  $z \in \Omega$ ,  $|X(z)| < 1 \Rightarrow Q(X(z), 0)$  and Q(0, X(z)) are well defined.

**Plot of** 
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For  $z \in \Omega$ ,  $|X(z)| < 1 \Rightarrow Q(X(z), 0)$  and Q(0, X(z)) are well defined. Near Re(z) = 0, we have  $z \in \Omega$  and  $z + \pi \tau \in \Omega$ .

Equation to solve: (near  $\operatorname{Re}(z) = 0$ )  $R(X(z), X(z + \pi\tau)) = 0$ 

where

$$X(z) = \frac{e^{-\frac{4\pi\tau i}{3}}\vartheta(z,3\tau)\vartheta(z-\pi\tau,3\tau)}{\vartheta(z+\pi\tau,3\tau)\vartheta(z-2\pi\tau,3\tau)}.$$
$$R(x,y) = 1 - \frac{t}{x}Q(0,y) - \frac{t}{y}Q(x,0) + e^{i\alpha}tQ(0,x) + e^{-i\alpha}tyQ(y,0).$$

Equation to solve: (near  $\operatorname{Re}(z) = 0$ )

$$1 = \frac{t}{X(z)}Q(0, X(z + \pi\tau)) + \frac{t}{X(z + \pi\tau)}Q(X(z), 0) - e^{i\alpha}tQ(0, X(z)) - e^{-i\alpha}tX(z + \pi\tau)Q(X(z + \pi\tau), 0),$$

where

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For *z* near 0, define

$$L(z) = \frac{t}{X(z+\pi\tau)}Q(X(z),0) - e^{i\alpha}tQ(0,X(z)).$$

Both L(z) and  $L(z + \pi \tau)$  converge.

Equation to solve: (near  $\operatorname{Re}(z) = 0$ )

$$1 = \frac{t}{X(z)}Q(0, X(z + \pi\tau)) + \frac{t}{X(z + \pi\tau)}Q(X(z), 0) -e^{i\alpha}tQ(0, X(z)) - e^{-i\alpha}tX(z + \pi\tau)Q(X(z + \pi\tau), 0),$$

where

$$X(z) = \frac{e^{-\frac{4\pi\tau i}{3}}\vartheta(z,3\tau)\vartheta(z-\pi\tau,3\tau)}{\vartheta(z+\pi\tau,3\tau)\vartheta(z-2\pi\tau,3\tau)}.$$

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Both L(z) and  $L(z + \pi \tau)$  converge.

Equation to solve: (near  $\operatorname{Re}(z) = 0$ )

$$1 = \frac{t}{X(z)}Q(0, X(z+\pi\tau)) + L(z)$$
$$-e^{-i\alpha}tX(z+\pi\tau)Q(X(z+\pi\tau), 0),$$

where

$$X(z) = \frac{e^{-\frac{4\pi\tau i}{3}}\vartheta(z,3\tau)\vartheta(z-\pi\tau,3\tau)}{\vartheta(z+\pi\tau,3\tau)\vartheta(z-2\pi\tau,3\tau)}.$$

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Both L(z) and  $L(z + \pi \tau)$  converge.

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$$1 = \frac{t}{X(z)}Q(0, X(z + \pi\tau)) + L(z) - e^{-i\alpha}tX(z + \pi\tau)Q(X(z + \pi\tau), 0),$$

where

$$X(z) = \frac{e^{-\frac{4\pi\tau i}{3}}\vartheta(z,3\tau)\vartheta(z-\pi\tau,3\tau)}{\vartheta(z+\pi\tau,3\tau)\vartheta(z-2\pi\tau,3\tau)}.$$

For z near 0, define

$$L(z) = \frac{t}{X(z+\pi\tau)}Q(X(z),0) - e^{i\alpha}tQ(0,X(z)).$$

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Counting lattice walks by winding angle

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We can solve this exactly:

$$\begin{split} L(z) &= -\frac{e^{3i\alpha}}{1 - e^{3i\alpha}} \left( 1 + \frac{e^{-i\alpha}}{X(z)} + e^{-2i\alpha}X(z - \pi\tau) \right) \\ &- \frac{e^{i\alpha + \frac{5i\pi\tau}{3}}\vartheta(\pi\tau, 3\tau)\vartheta'(0, \tau)}{(1 - e^{3i\alpha})\vartheta(\frac{\alpha}{2} - \frac{2\pi\tau}{3}, \tau)\vartheta'(0, 3\tau)} \frac{\vartheta(z - 2\pi\tau, 3\tau)\vartheta(z - \frac{\alpha}{2} + \frac{2\pi\tau}{3}, \tau)}{\vartheta(z, \tau)\vartheta(z, 3\tau)} \end{split}$$

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We can extract  $E(t, e^{i\alpha}) = Q(0, 0)...$ 

#### KREWERAS WALKS BY WINDING NUMBER: SOLUTION

**Recall:**  $\tau$  is determined by

$$t = e^{-\frac{\pi\tau i}{3}} \frac{\vartheta'(0,3\tau)}{4i\vartheta(\pi\tau,3\tau) + 6\vartheta'(\pi\tau,3\tau)}.$$

The gf  $E(t, e^{i\alpha}) = Q(0, 0) \equiv Q(t, \alpha, 0, 0)$  is given by:

$$E(t,e^{i\alpha}) = \frac{e^{i\alpha}}{t(1-e^{3i\alpha})} \left( e^{i\alpha} - e^{\frac{4\pi\tau i}{3}} \frac{\vartheta'(2\pi\tau,3\tau)}{\vartheta'(0,3\tau)} - e^{\frac{\pi\tau i}{3}} \frac{\vartheta(\pi\tau,3\tau)\vartheta'(\frac{\alpha}{2} - \frac{2\pi\tau}{3},\tau)}{\vartheta'(0,3\tau)\vartheta(\frac{\alpha}{2} - \frac{2\pi\tau}{3},\tau)} \right)$$

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**Equivalently:** 

Let  $q(t) \equiv q = t^3 + 15t^6 + 279t^9 + \cdots$  satisfy

$$t = q^{1/3} \frac{T_1(1, q^3)}{4T_0(q, q^3) + 6T_1(q, q^3)}.$$

The gf for cell-centred Kreweras-lattice almost-excursions is:

$$E(t,s) = \frac{s}{(1-s^3)t} \left( s - q^{-1/3} \frac{T_1(q^2, q^3)}{T_1(1, q^3)} - q^{-1/3} \frac{T_0(q, q^3) T_1(sq^{-2/3}, q)}{T_1(1, q^3) T_0(sq^{-2/3}, q)} \right)$$

Counting lattice walks by winding angle







#### WALKS IN CONES WITH SMALL STEPS

• Quarter plane walks: Completely classified into rational, algebraic, D-finite, D-algebraic cases.

[Mishna, Rechnitzer 09], [Bousquet-Mélou, Mishna 10], [Bostan, Kauers 10], [Fayolle, Raschel 10], [Kurkova, Raschel 12], [Melczer, Mishna 13], [Bostan, Raschel, Salvy 14], [Bernardi, Bousquet-Mélou, Raschel 17], [Dreyfus, Hardouin, Roques, Singer 18]

- Half plane walks: Easy
- Three quarter plane walks: Active area of research (Previously) solved in 6-12 of the 74 non-trivial cases [Bousquet-Mélou 16], [Raschel-Trotignon 19], [Budd 20], [Bousquet-Mélou, Wallner 20+]
- Walks on the slit plane C \ R<sub><0</sub>: solved in all cases [Bousquet-Mélou, 01], [Bousquet-Mélou, Schaeffer, 02], [Rubey 05]

#### WALKS IN THE 3/4-PLANE: SOLVED CASES



[Bousquet-Mélou 16], [Raschel, Trotignon 19], [Budd 20], [Bousquet-Mélou, Wallner 20+]

Counting lattice walks by winding angle

# WALKS IN THE 5/4-PLANE: SOLVED CASES



#### [Budd 20]

#### WALKS IN THE 6/4-PLANE: SOLVED CASES



#### [Budd 20]

# WALKS IN THE 7/4-PLANE: SOLVED CASES



#### [Budd 20]

## COUNTING KREWERAS WALKS IN A CONE



#### In the upper half plane: Use reflection principle

#(Walks from *A* to *B* above  $\mathbb{R}$ )

- = #(Walks from *A* to *B*) #(Walks from *A* to *B* through  $\mathbb{R}$ )
- = #(Walks from *A* to *B*) #(Walks from *A* to  $\overline{B}$ )



# Counting Kreweras excursions in 5/6-plane

**New result:** -excursions avoiding a quadrant. **Equivalently:** Walks avoiding the blue and green lines


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Winding angle  $\alpha \to -\frac{4\pi}{3} - \alpha$  or  $2\pi - \alpha$ .

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 $#(Walks \blacksquare \rightarrow \blacksquare avoiding lines)$ 

$$= \left(\sum_{k \in \mathbb{Z}} [s^{5k}] \tilde{E}(t,s)\right) - \left(\sum_{k \in \mathbb{Z}} [s^{5k-2}] \tilde{E}(t,s)\right)$$
$$= \frac{1}{5} \sum_{j=1}^{4} \left(1 - e^{\frac{4\pi i j}{5}}\right) \tilde{E}\left(t, e^{\frac{2\pi i}{5}}\right)$$

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## **More generally:** Let $C_{k,r}(t)$ count whole-plane Kreweras

excursions...

- Starting adjacent to the origin,
- Avoiding the origin,
- Having winding angle 0,
- Having intermediate winding angles restricted to  $\left|-\frac{r\pi}{3},\frac{(k-1)}{2}\right|$

$$\left[-\frac{r\pi}{3},\frac{(k-r)\pi}{3}\right]$$

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I.e., Kreweras excursions in the k/6-plane **Previous slide:** 

$$C_{5,2}(t) = \frac{1}{5} \sum_{j=1}^{4} \left( 1 - e^{\frac{4\pi i j}{5}} \right) \tilde{E}\left( t, e^{\frac{2\pi i}{5}} \right).$$

More generally:

$$C_{k,r}(t) = \frac{1}{k} \sum_{j=1}^{k-1} \left(1 - e^{\frac{2\pi i j r}{k}}\right) \tilde{E}\left(t, e^{\frac{2\pi i j}{k}}\right).$$

# Part 4: Analysis of solutions

Counting lattice walks by winding angle

### ANALYSIS OF SOLUTION

From the exact solution we extract:

• Asymptotic distribution ([Bélisle, 1989]): For random excursions of length *n*,  $\frac{\text{winding angle}}{c \log(n)}$  has asymptotic density

$$4\frac{(x-1)e^{x} + (x+1)e^{-x}}{(e^{x} - e^{-x})^{2}}$$

• Asymptotics ([Denisov, Wachtel, 2015]): Let  $c_n$  count Kreweras-lattice excursions in a cone of angle  $\alpha \in \frac{\pi}{3}\mathbb{N}$ .

$$c_n \sim -\frac{2 \cdot 3^{5-\frac{6}{k}} \sin^2\left(\frac{\pi}{k}\right)}{\pi k^2 \left(1+2 \cos\left(\frac{2\pi}{k}\right)\right) \Gamma\left(-\frac{3}{k}\right)} n^{-1-\frac{3}{k}} 3^n.$$

Conditions for algebraicity: Let C<sub>α</sub>(t) count Kreweras-lattice excursions in a cone of angle α ∈ π/3 N. This satisfies a non-trivial polynomial equation P(C<sub>α</sub>(t), t) = 0 if and only if α ∉ πZ. (uses modular forms as in [Zagier, 08] and [E.P., Zinn-Justin, 20])

Counting lattice walks by winding angle

Fix  $\alpha$ . Writing  $\hat{\tau} = -\frac{1}{3\tau}$  and  $\hat{q} = e^{2\pi i \hat{\tau}}$ , the dominant singularity t = 1/3 of  $\tilde{E}(t, e^{i\alpha})$  corresponds to  $\hat{q} = 0$ .

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$$t = \frac{1}{3} - 3\hat{q} + 18\hat{q}^2 + O(\hat{q}^3)$$
  
$$t\tilde{E}(t, e^{i\alpha}) = a_0 + a_1\hat{q} - \frac{27\alpha e^{i\alpha}}{2\pi(1 + e^{i\alpha} + e^{2i\alpha})}\hat{q}^{\frac{3\alpha}{2\pi}} + o\left(\hat{q}^{\frac{3\alpha}{2\pi}}\right),$$

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**Previously:** Terms  $3^n$  and  $n^{-1-\frac{3}{k}}$  known [Denisov, Wachtel, 2015].

Counting lattice walks by winding angle

**Recall:**  $\vartheta(z, \tau)$  is differentially algebraic  $\rightarrow$  so are  $\tilde{E}(t, s)$  and  $Q(t, \alpha, x, y)$ . **For**  $\alpha \in \frac{\pi}{3} (\mathbb{Q} \setminus \mathbb{Z})$  we get algebraicity (Ideas from [Zagier, 08] and [E.P., Zinn-Justin, 20+]):

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- $E(t(\tau), e^{i\alpha})$  and  $t(\tau)$  are modular functions of  $\tau$  $\Rightarrow E(t, e^{i\alpha})$  is algebraic in t. Same for  $\tilde{E}(t(\tau), e^{i\alpha})$ .

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**Recall:** The gf for excursions in the k/6-plane is

$$C_{k,r}(t) = \frac{1}{k} \sum_{j=1}^{k-1} \left(1 - e^{\frac{2\pi i j r}{k}}\right) \tilde{E}\left(t, e^{\frac{2\pi i j}{k}}\right).$$

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Algebraic iff  $3 \nmid k$ . (always D-finite).



#### CELL-CENTRED LATTICES

#### Important property: Decomposable into congruent sectors





#### CELL-CENTRED LATTICES

#### Important property: Decomposable into congruent sectors





#### VERTEX-CENTRED LATTICES

Decompose into rotationally congruent sectors





#### VERTEX-CENTRED LATTICES

Decompose into rotationally congruent sectors





## **RECALL: KREWERAS ALMOST-EXCURSIONS**

Define 
$$T_k(u,q) = \sum_{n=0}^{\infty} (-1)^n (2n+1)^k q^{n(n+1)/2} (u^{n+1} - (-1)^k u^{-n})$$
  
 $= (u \pm 1) - 3^k q (u^2 \pm u^{-1}) + 5^k q^3 (u^3 \pm u^{-2}) + O(q^6).$   
Let  $q(t) \equiv q = t^3 + 15t^6 + 279t^9 + \cdots$  satisfy  
 $t = q^{1/3} \frac{T_1(1,q^3)}{4T_0(q,q^3) + 6T_1(q,q^3)}.$ 

The gf for cell-centred Kreweras-lattice almost-excursions is:

$$E(t,s) = \frac{s}{(1-s^3)t} \left( s - q^{-1/3} \frac{T_1(q^2, q^3)}{T_1(1, q^3)} - q^{-1/3} \frac{T_0(q, q^3) T_1(sq^{-2/3}, q)}{T_1(1, q^3) T_0(sq^{-2/3}, q)} \right)$$

The gf for vertex-centred Kreweras-lattice almost-excursions is:

$$\tilde{E}(t,s) = \frac{s(1-s)q^{-\frac{2}{3}}}{t(1-s^3)} \frac{T_0(q,q^3)^2}{T_1(1,q^3)^2} \left( \frac{T_1(q,q^3)^2}{T_0(q,q^3)^2} - \frac{T_2(q,q^3)}{T_0(q,q^3)} - \frac{T_2(s,q)}{2T_0(s,q)} + \frac{T_3(1,q)}{6T_1(1,q)} + \frac{T_3(1,q^3)}{3T_1(1,q^3)} \right)$$

Counting lattice walks by winding angle

#### SQUARE LATTICE ALMOST-EXCURSIONS

Define 
$$T_k(u,q) = \sum_{n=0}^{\infty} (-1)^n (2n+1)^k q^{n(n+1)/2} (u^{n+1} - (-1)^k u^{-n})$$
  
 $= (u \pm 1) - 3^k q (u^2 \pm u^{-1}) + 5^k q^3 (u^3 \pm u^{-2}) + O(q^6).$   
Let  $q(t) \equiv q = t + 4t^3 + 34t^5 + 360t^7 + \cdots$  satisfy  
 $t = \frac{qT_0(q^2, q^8)T_1(1, q^8)}{2T_0(q^4, q^8)(T_0(q^2, q^8) + 2T_1(q^2, q^8)))}.$ 

The gf for cell-centred Square-lattice almost-excursions is:

$$\frac{s^2}{(1-s^4)t}\left(s-s^{-1}+\frac{T_0(q^4,q^8)}{qT_1(1,q^8)}-\frac{T_0(q^4,q^8)T_1(s^{-1}q,q^2)}{qT_1(1,q^8)T_0(s^{-1}q,q^2)}\right).$$

The gf for vertex-centred Square-lattice almost-excursions is:

$$\frac{sT_0(q^4,q^8)}{qt(1+s^2)T_1(1,q^8)}\left(1+\frac{2T_1(q^2,q^8)}{T_0(q^2,q^8)}+\frac{(1-s)T_1(s^{-1},q^2)}{(1+s)T_0(s^{-1},q^2)}\right).$$

Counting lattice walks by winding angle

## Part 6: Final comments

## JACOBI THETA FUNCTION/ WEIERSTRASS FUNCTION PARAMETERISATION COMBINATORIAL FUNCTIONAL EQUATION SOLUTION METHOD

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#### This method...

- Sometimes works on equations with two catalytic variables
- Successful on
  - Various 2 dimensional lattice walk models [Bernardi, Bousquet-Mélou, E.P., Fayolle, Kurkova, Raschel, Trotignon]
  - Some planar map models [Bousquet Mélou, E.P., Kostov, Zinn-Justin].

#### Questions for the audience:

- Does anyone have a nice equation to try?
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Counting lattice walks by winding angle

Write 
$$K(x, y) = A(x)y^2 + B(x)y + C(x)$$
, then  

$$Y(x) = \frac{-B(x) \pm \sqrt{B(x)^2 - 4A(x)C(x)}}{2A(x)}$$

parameterizes K(x, Y(x)) = 0. Typically,  $Y_+(x)$  is meromorphic on:

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Counting lattice walks by winding angle


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By symmetry, for  $r \in \mathbb{R}$ :

• 
$$X(r) = X(\pi - r) = X(-r)$$
  
•  $X(\frac{\pi\tau}{2} + r) = X(\frac{\pi\tau}{2} - r)$ 



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$$X(z) = X(\pi - z) = X(-z) = X(\pi \tau + z)$$
  
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For 
$$z \in \mathbb{C}$$
:  
•  $X(z) = X(\pi - z) = X(-z) = X(\pi \tau + z)$   
 $X(z) = c \frac{\vartheta(z - \alpha)\vartheta(z + \alpha)}{\vartheta(z - \beta)\vartheta(z + \beta)}$ 



Recall:

$$y(x) = \frac{-B(x) \pm \sqrt{B(x)^2 - 4A(x)C(x)}}{2A(x)}.$$

Consider Y(z) = y(X(z)). By symmetry, for  $r \in \mathbb{R}$ : • X(r) = X(-r), so  $Y(r) + Y(-r) = -\frac{B(X(r))}{A(X(r))}$ . • Similarly,  $Y\left(\frac{\pi\tau}{2} + r\right) + Y\left(\frac{\pi\tau}{2} - r\right) = -\frac{B\left(X\left(\frac{\pi\tau}{2} + r\right)\right)}{A\left(X\left(\frac{\pi\tau}{2} + r\right)\right)}$ .

Counting lattice walks by winding angle



Recall:

$$y(x) = \frac{-B(x) \pm \sqrt{B(x)^2 - 4A(x)C(x)}}{2A(x)}.$$

Consider Y(z) = y(X(z)). For  $z \in \mathbb{C}$ : •  $Y(z) + Y(-z) = -\frac{B(X(z))}{A(X(z))}$ . •  $Y(z) + Y(\pi\tau - z) = -\frac{B(X(z))}{A(X(z))}$ .



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•  $Y(z) + Y(-z) = -\frac{B(X(z))}{A(X(z))}$ .  
•  $Y(z) + Y(\pi\tau - z) = -\frac{B(X(z))}{A(X(z))}$ .  
So  $Y(z) = Y(z + \pi\tau) = Y(z + \pi)$   
 $\Rightarrow Y(z) = c \frac{\vartheta(z - \gamma)\vartheta(z - \delta)}{\vartheta(z - \epsilon)\vartheta(z - \gamma - \delta + \epsilon)}$ .

Counting lattice walks by winding angle

Equation characterising  $Q(x, y) \equiv Q(t, x, y)$  for quadrant walks:

$$K(x, y)Q(x, y) + R(x, y) = 0.$$

K(x, y) = 0 is parameterised by

$$X(z) = c_1 \frac{\vartheta(z - \alpha_1)\vartheta(z - \beta_1)}{\vartheta(z - \gamma_1)\vartheta(z - \delta_1)} \quad \text{and} \quad Y(z) = c_2 \frac{\vartheta(z - \alpha_2)\vartheta(z - \beta_2)}{\vartheta(z - \gamma_2)\vartheta(z - \delta_2)},$$

where the constants satisfy  $\alpha_j + \beta_j = \gamma_j + \delta_j$  for j = 1, 2. So, R(X(z), Y(z)) = 0.

Counting lattice walks by winding angle

In general: K(x, y) = 0 is parameterised by

$$X(z) = c_1 \frac{\vartheta(z - \alpha_1)\vartheta(z - \beta_1)}{\vartheta(z - \gamma_1)\vartheta(z - \delta_1)} \text{ and } Y(z) = c_2 \frac{\vartheta(z - \alpha_2)\vartheta(z - \beta_2)}{\vartheta(z - \gamma_2)\vartheta(z - \delta_2)},$$
  
with  $\alpha_j + \beta_j = \gamma_j + \delta_j$  for  $j = 1, 2$ .

#### For Kreweras paths:

$$Q(x,y) = 1 + xytQ(x,y) + \frac{t}{x} \left( Q(x,y) - Q(0,y) \right) + \frac{t}{y} \left( Q(x,y) - Q(x,0) \right).$$

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Counting lattice walks by winding angle

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Then  $K(x, y) = xy - tx^2y^2 - tx - ty = 0$  is parameterised by

$$X(z) = \frac{e^{-\frac{4\pi\tau i}{9}}\vartheta(z)\vartheta\left(z-\frac{\pi\tau}{3}\right)}{\vartheta\left(z+\frac{\pi\tau}{3}\right)\vartheta\left(z-\frac{2\pi\tau}{3}\right)} \text{ and } Y(z) = \frac{e^{-\frac{4\pi\tau i}{9}}\vartheta(z)\vartheta\left(z+\frac{\pi\tau}{3}\right)}{\vartheta\left(z-\frac{\pi\tau}{3}\right)\left(z+\frac{2\pi\tau}{3}\right)},$$

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Then 
$$K(x, y) = xy - tx^2y^2 - tx - ty = 0$$
 is parameterised by

$$X(z) = \frac{e^{-\frac{4\pi\tau t}{3}}\vartheta(z,3\tau)\vartheta(z-\pi\tau,3\tau)}{\vartheta(z+\pi\tau,3\tau)\vartheta(z-2\pi\tau,3\tau)} \text{ and } Y(z) = X(z+\pi\tau),$$

where

$$t = \frac{1}{X(z)Y(z) + X(z)^{-1} + Y(z)^{-1}}.$$

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where

$$t = e^{-\frac{\pi\tau i}{3}} \frac{\vartheta'(0,3\tau)}{4i\vartheta(\pi\tau,3\tau) + 6\vartheta'(\pi\tau,3\tau)}.$$