

Words whose factors are not shuffled squares

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Squares, powers and square-free words

A word u is a **square** if there exists a word v such that $u = v \cdot v$.

Examples: $01 \cdot 01$ and $010101 \cdot 010101$

Counter-examples: 0110 , 010101 and 01201

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 k^{th} **power** if there exists a word v such that $u = v^k$;
power if u is a k^{th} power for some integer $k \geq 2$.

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A word u is **square-free** if none of its non-empty (contiguous) factors is a square (or a power).

Examples: 010 , 0102010 and 01020120210

are **square-free**.

Counter-examples: 0101 , 0110 and binary words u of length $|u| \geq 4$

are not **square-free**.

Why studying squares, powers and square-free words?

“A problem that is easy to state, yet difficult to solve, should be studied primarily for its own sake, even if it does not yet have concrete applications.” Axel Thue, 1912

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- bio-informatics;
- compression algorithms;
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Some questions of interest:

Given a finite alphabet $A_n = \{0, 1, \dots, n-1\}$,

- how difficult is it to check whether a word $u \in A_n^*$ is a square? a k^{th} power? a power?
- how difficult is it to check whether a word $u \in A_n^*$ is square-free?

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- are there arbitrarily long square-free words in A_n^* ? infinite square-free words in A_n^ω ?

Are there infinite square-free or k^{th} -power-free words? (1/2)

Lemma (Folklore): Square-free words over the alphabet $A_2 = \{0, 1\}$ are $\varepsilon, 0, 01, 010, 1, 10, 101$.

Theorem (Thue, 1906): The **Thue-Morse** word is cube-free. This is the **infinite fixed-point** of the **morphism of monoids TM** defined by **TM(0) = 01** and **TM(1) = 10**, and starting with **0**:

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Proof: Assume that there is a shortest cube-free word w for which $\mathbf{TM}(w)$ has a factor x^3 :

- if $\mathbf{TM}(w) = x^3$, $|x|$ is even, and there exists a word u such that $\mathbf{TM}(u) = x$ and $w = u^3$;

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$$\mathbf{1}_{\lambda=0} \equiv 3|x|_0 + \mathbf{1}_{\lambda=0} \equiv |\mathbf{TM}(w)|_0 \equiv |\mathbf{TM}(w)|_1 \equiv 3|x|_1 + \mathbf{1}_{\lambda=1} \equiv \mathbf{1}_{\lambda=1} \pmod{3};$$

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- if **TM**(w) = $\lambda \cdot x^3 \cdot \mu$ for some letters $\lambda \in A_2$ and $\mu \in A_2$, $|x|$ is even, and we can factor x as $x = a \cdot y \cdot b$, where $|y|$ is even; then, **TM**(w) = $\lambda a \cdot y \cdot ba \cdot y \cdot ba \cdot y \cdot b\mu$: there exists a word u such that **TM**(u) = y , and **TM**(λ) = $\lambda a = \mathbf{TM}(b) = b\mu$, so that $w = \lambda \cdot u \cdot \lambda \cdot u \cdot \lambda \cdot u \cdot \lambda$.

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In conclusion, the Thue-Morse morphism **TM** is **cube-free**: if w is cube-free, so is **TM**(w)!

Are there infinite square-free or k^{th} -power-free words? (2/2)


Theorem (Thue, 1912; Crochemore, 1982): An ℓ -uniform morphism φ is **square-free** if $\varphi(w)$ is square-free whenever $|w| \leq 3$ and w is square-free. 

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Corollary (Thue, 1906; Leech, 1957): The **Leech morphism** \mathbf{L} defined by

$$\mathbf{L}(0) = 0121021201210 \quad \mathbf{L}(1) = 1202102012021 \quad \mathbf{L}(2) = 2010210120102$$

is **square-free**. Thus, the infinite fixed-point of \mathbf{L} starting with 0 is square-free.

Are there *many* square-free or k^{th} -power-free words? (1/3)

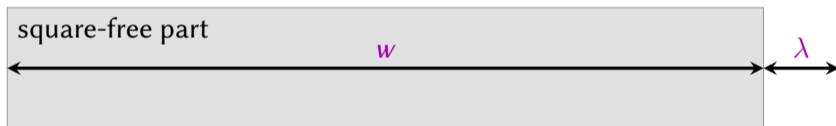
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- 1 For all square-free words $w \in A_\ell^n$ and letters $\lambda \in A_\ell$, the word $w \cdot \lambda$ has a factorisation $w \cdot \lambda = p \cdot s \cdot s$, where $p \cdot s$ is square-free: knowing $p \cdot s$ determines w and λ .



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- 3 We prove by induction that $|W_\ell^{n+1}| \geq (\ell - 2) |W_\ell^n|$, so that $|W_\ell^n| \geq (\ell - 2)^n$:

$$\frac{|W_\ell^{n+1}|}{|W_\ell^n|} \geq (\ell - 1) - \sum_{k \geq 1} \frac{|W_\ell^{n-k}|}{|W_\ell^n|} \geq (\ell - 1) - \sum_{k \geq 1} \frac{1}{(\ell - 2)^k} = (\ell - 2) + \frac{\ell - 4}{\ell - 3} \geq \ell - 2.$$

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Corollaries (Folklore): $|W_\ell^n| \geq (\ell - 2)^n$ when $\ell \geq 4$.

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There are infinite square-free words in A_ℓ^ω when $\ell \geq 4$;
cube-free words in A_ℓ^ω when $\ell \geq 3$;
 5^{th} -power-free words in A_ℓ^ω when $\ell \geq 2$.

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⚠ **Non-constructive
existence results!**

cube-free words in A_ℓ^ω when $\ell \geq 3$;

5th-power-free words in A_ℓ^ω when $\ell \geq 2$.

Are there *many* square-free words? (2/3)

Theorem (Brandenburg, 1983; Brinkhuis, 1983; Kolpakov, 2006; Shur, 2009): $|W_3^n| \geq (5/4)^n$.

Proof that $|W_3^n| \geq 2^{n/72} \approx 1.01^n$: If $w = 1201021$, the **A_4 -to- A_3 morphism** φ defined by

$$\varphi(0) = \mathbf{L}(01020) \cdot w$$

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at least 2^n square-free words $\varphi(x) \in W_3^{72n}$, and

$$|W_3^n|^{72} \geq |W_3^{72n}| \geq 2^n.$$

Are there *many* square-free words? (3/3)

Finer analytic approach towards proving that $|W_3^n| \geq (5/4)^n$:

- 1 Let $W_3^n(w)$ be the set of words $x \in W_3^n$ that end with w , for all $w \in W_3^k$ (for a given k):
Find linear inequalities that connect $|W_3^n(w)|$ to terms $|W_3^m(w')|$ when $m \leq n - 1$.

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Examples:

- $|W_3^n(0121)| \geq |W_3^{n-1}(?012)|$



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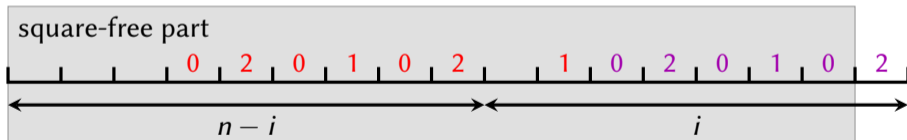
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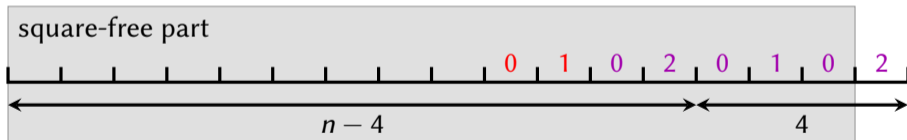
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- Group the terms $|W_3^n(\mathbf{w})|$ in a vector \mathbf{W}_3^n , and then in a power series $\mathbf{W}_3(z) = \sum_{n \geq 0} \mathbf{W}_3^n z^n$:
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 - For $k = 23$ and $z = 4/5$, there exists a non-zero vector $\mathbf{v} \geq 0$ such that $\mathbf{vM}(z) \leq 0$, hence $\mathbf{vM}(z)\mathbf{W}_3(z) \leq 0 < (1-z)z^k \mathbf{v1}$, hence $\mathbf{W}_3(z)$ is **divergent** and $\limsup |W_3^n|^{1/n} \geq 5/4$. \circ

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 - The sequence $(\log |W_3^n|)_{n \geq 0}$ is sub-additive, hence $|W_3^n| \geq (5/4)^n$ for all $n \geq 0$.

Shuffles

A **shuffle** of two words u and v is a word w obtained by merging u and v from left to right, choosing the next symbol arbitrarily from u or from v : we write $w \in u \sqcup v$.

Examples: 0123, 0213, 0231, 2013, 2031 and 2301

0101 = 0101 and 0011 = 0011 = 0011 = 0011

Counter-examples: 1023 and 0122

are the **shuffles** of 01 and 23;

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Playing
cards



Single-thread
concurrent execution

Message
synchronisation

Process/message A



Interleaving



Process/message B



Shuffled squares and powers

A word u is a **shuffled square** if there exists a word v such that $u \in v \sqcup v$.

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A word u is a **shuffled square** if there exists a word v such that $u \in v \sqcup v$;

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A word u is **shuffled-square-free** if none of its non-empty factors is a **shuffled square**.

shuffled-power-free if none of its non-empty factors is a **shuffled power**.

Examples: 010, 0102010 and 01202102012

Counter-examples: 010212 and 2010201202

Ternary words u of length $|u| \geq 12$

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Being \square -free vs being \sqcup^2 -free

Lemma (Folklore): One can check whether u is a \square in time $\mathcal{O}(|u|)$.

Theorem (Buss & Soltys, 2013; Rizzi & Vialette, 2013; Bulteau & Vialette, 2020):
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Theorem: There are infinite \square -free words in A^ω if and only if $|A| \geq 3$.

Open question: For which alphabets A are there infinite \sqcup^2 -free words in A^ω ?

When does A_ℓ^ω contain infinite \sqcup^2 -free words? (1/3)

Lemma: When $\ell \leq 3$, it does not.

Conjecture: When $\ell \geq 4$, it does.

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- 2 Let W_ℓ^n be the set of \sqcup^2 -free words in A_ℓ^n . Once the length $k = |u|$ is fixed, there are $|W_\ell^{n+1-2k}|$ choices for x , ℓ^k choices for u and up to 2^{2k} choices for y :

$$|W_\ell^n| \ell \leq |W_\ell^{n+1}| + |W_\ell^{n-1}| (4\ell) + \dots + |W_\ell^{n+1-2k}| (4\ell)^k + \dots$$

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- 3 We prove by induction that $|W_\ell^{n+1}| \geq 2\ell |W_\ell^n|/3$ when $\ell \geq 27$, so that $W_\ell^n \neq \emptyset$:

$$\frac{|W_\ell^{n+1}|}{|W_\ell^n|} \geq \ell - \sum_{k \geq 1} \frac{|W_\ell^{n+1-2k}|}{|W_\ell^n|} (4\ell)^k \geq \ell - \sum_{k \geq 1} \frac{3^{2k-1}}{(2\ell)^{2k-1}} (4\ell)^k = \frac{2\ell}{3} + \frac{\ell(\ell-27)}{3\ell-27} \geq \frac{2\ell}{3}.$$

When does A_ℓ^ω contain infinite \sqcup^2 -free words? (2/3)

Theorem: When $\ell \geq 6$, it does.

Proof relying on a **finer analytic approach** when ℓ is not so large:

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 - ▶ either $u = \lambda$ or $|u| \geq 2$ and $x \cdot y_0 \cdot y_1$ is \sqcup^2 -free;
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- Let S_ℓ^k be the set of minimal \sqcup^2 of length $2k$: once the length $k = |u|$ and the word $y \in S_\ell^k$ are fixed, there are $|W_\ell^{n+3-2k}|/\ell(\ell-1)$ choices for $x \cdot y_0 \cdot y_1$:

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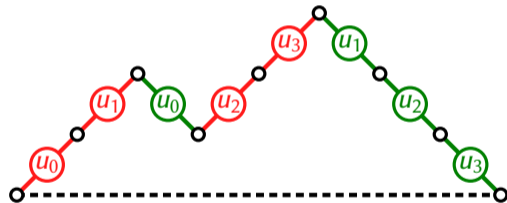
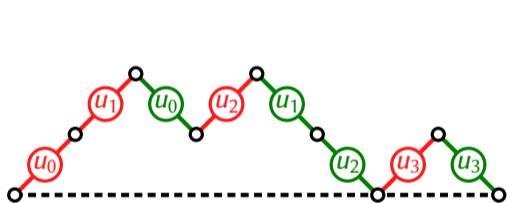
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- We can prove that $|S_\ell^k| \leq \ell(\ell-1)^{k-1} \text{Cat}(k-1)$.
- We can prove by induction that $|W_\ell^{n+1}| \geq 37\sqrt{\ell-1}|W_\ell^n|/16$ when $\ell \geq 7$, so that $W_\ell^n \neq \emptyset$.

Intermezzo: counting minimal \sqcup^2 words and \sqcup^2 -free words

When choosing a word $y \in u \sqcup u$ whose strict factors are \sqcup^2 -free, with $|u| = k$:

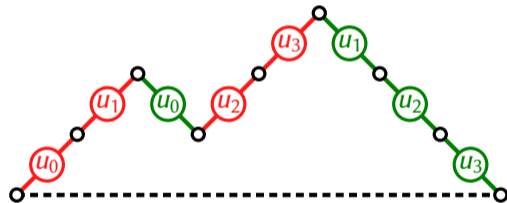
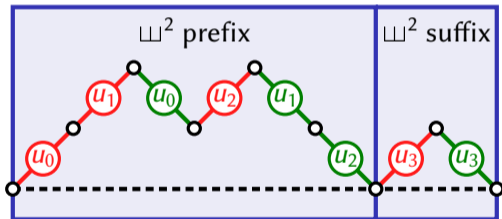
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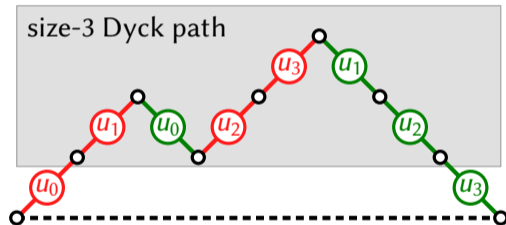
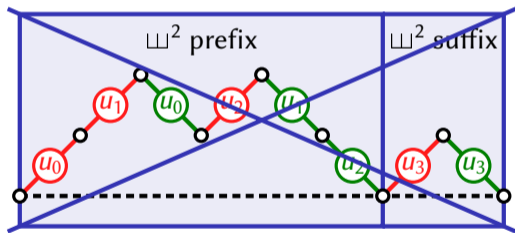
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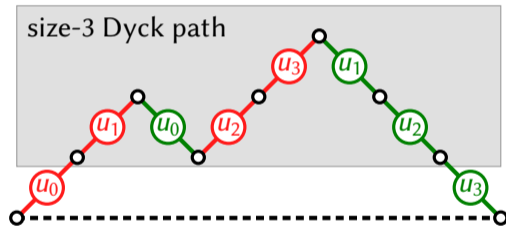
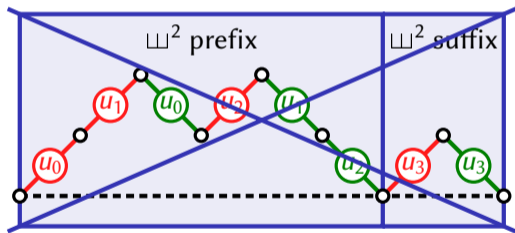
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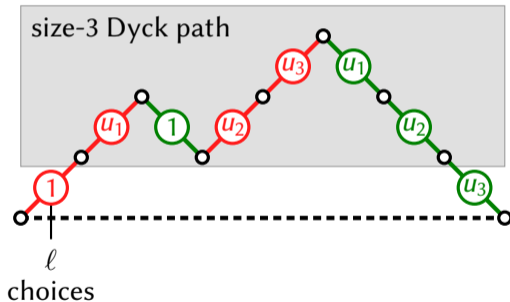
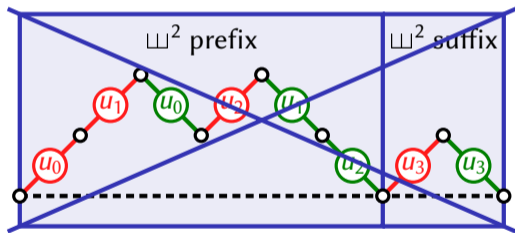
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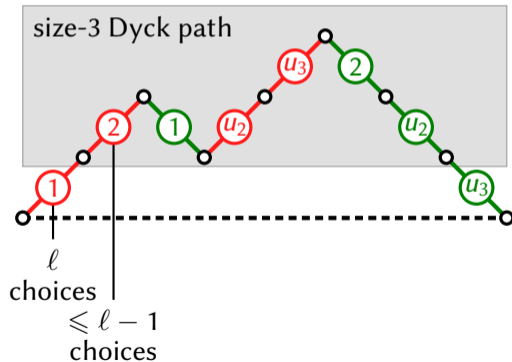
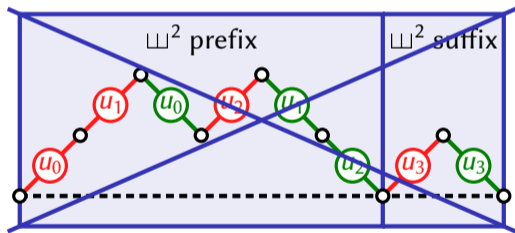
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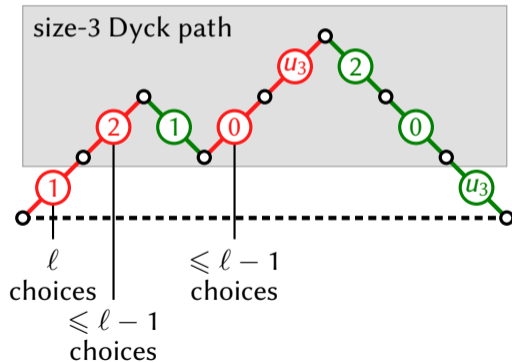
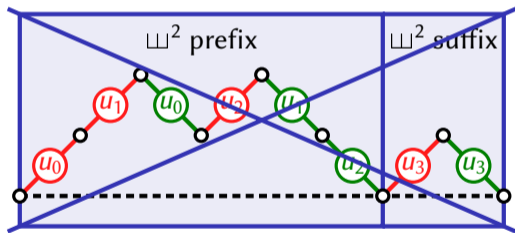
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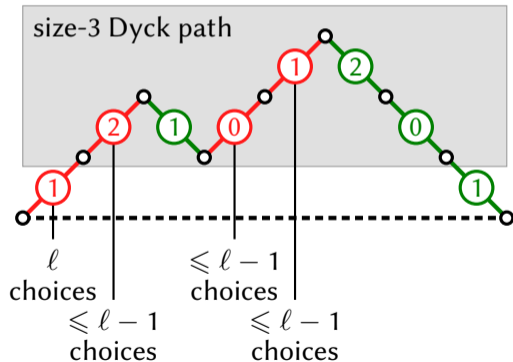
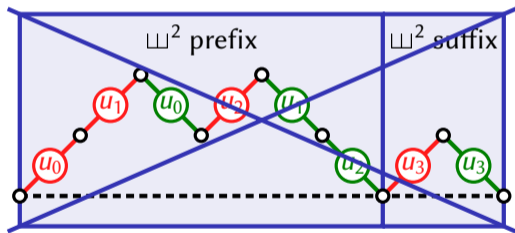
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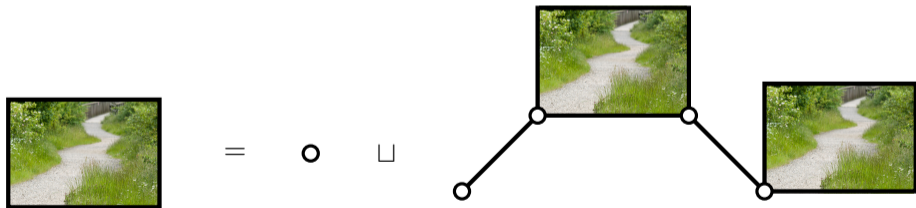


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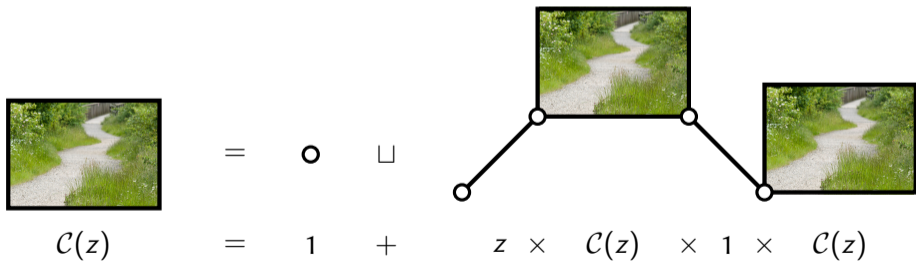


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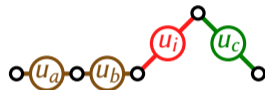
When does A_ℓ^ω contain infinite \sqcup^2 -free words? (3/3)

Theorem: When $\ell \geq 6$, it does.

Proof relying on **finer upper bounds** on $|S_\ell^k|$ when $\ell = 6$:

When a letter u_i is placed just before a peak, it can take at most $\ell - 2$ values (unless $i = 2$):

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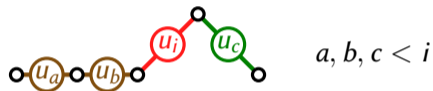
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Remark (Narayana, 1959): There are $\text{Cat}(k, p) = \frac{1}{k} \binom{k}{p} \binom{k}{p-1}$ size- k Dyck trees with p peaks.

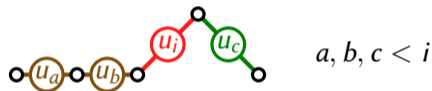
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Lemma: The generating series $\mathcal{C}(x, y) = \sum_{k, p \geq 0} \text{Cat}(k, p) x^k y^p$ satisfies the relation

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Q T U H E A S N T K I Y O O N U S!?

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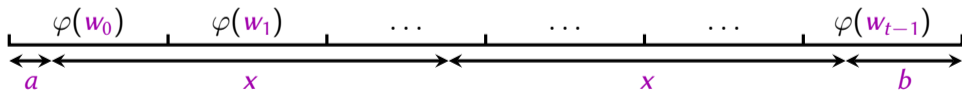
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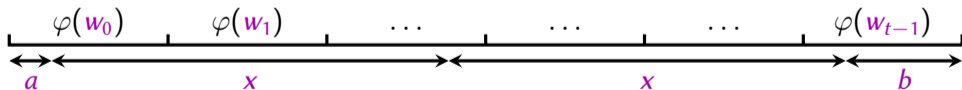


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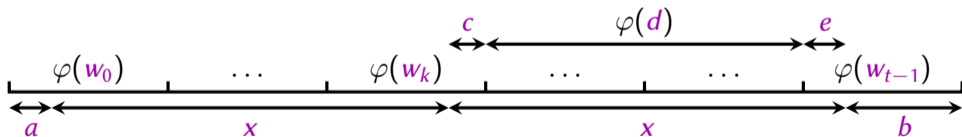
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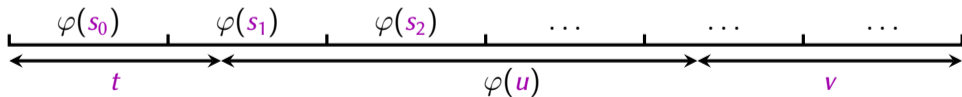
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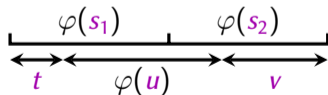
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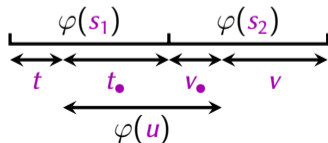
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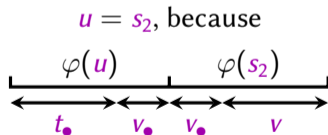
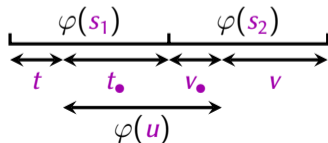
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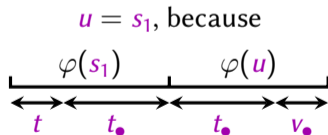
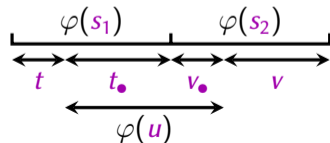
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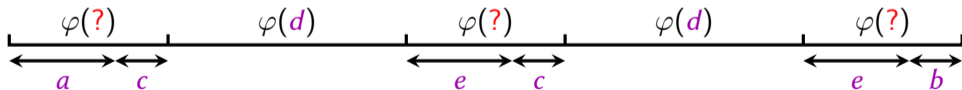
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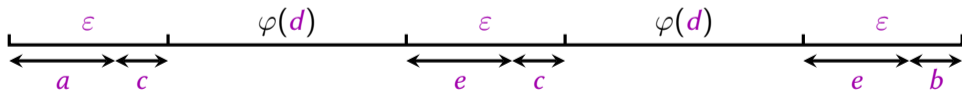
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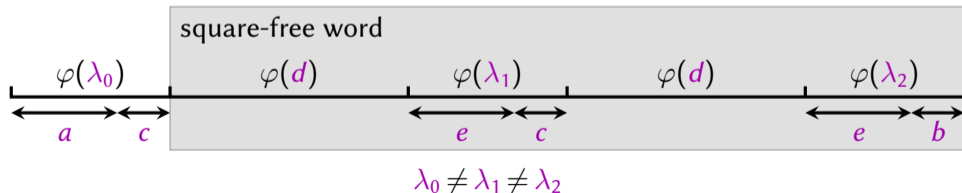
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The vector \mathbf{v}

By identifying isomorphic words w to let them start with 01 and ordering coordinates w of \mathbf{W}_3^n in lexicographic order, we can choose \mathbf{v} as the inverse of

770	980	732	826	744	640	662	790	580	1380	892	719	1164	1226	688	1198	962	926	1154	600	1148	530	694	1346	598	856	920	1000	1120	678	∞	∞	614	
1208	666	702	1116	1258	814	946	602	780	1236	686	1564	932	1208	956	740	820	692	572	988	1264	798	∞	∞	1122	936	802	1006	1494	632	580	784	934	
986	564	732	580	1042	954	1578	∞	826	1034	1188	520	946	1206	676	846	1174	1110	∞	952	752	934	706	702	692	1790	1070	744	1140	616	700	1184	716	
0	∞	912	720	526	636	794	982	540	640	1146	680	754	538	1042	918	860	672	896	∞	∞	∞	694	1004	1126	698	712	808	712	1012	600	1068	1120	
748	986	696	1188	1074	668	904	1198	554	974	1170	956	1140	1736	740	1244	684	589	1098	896	768	1028	766	814	682	873	624	1996	880	1626	870	828	∞	
536	810	930	569	720	∞	607	738	892	∞	758	658	1228	922	722	904	718	660	810	658	756	772	808	980	878	568	1110	776	2822	940	734	576	934	
854	1086	902	∞	816	585	758	834	∞	546	938	716	∞	644	896	764	572	764	1072	604	666	∞	872	1572	1022	726	1552	1094	874	1230	680	684	726	
688	∞	1072	642	742	946	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	
1030	598	866	774	784	856	1550	∞	626	938	740	710	928	∞	744	1020	846	∞	870	994	1072	540	764	752	572	683	874	712	1382	590	814	1158	1492	
822	950	758	1670	1012	626	574	498	∞	944	562	728	2628	860	922	1354	620	720	3068	1164	1066	706	564	714	950	∞	956	1092	714	724	1760	894	866	
1040	728	938	716	∞	660	564	980	878	604	1144	770	1542	1160	730	696	838	896	2144	840	698	725	1160	1544	734	1144	619	846	980	576	648	552	738	
938	728	1118	856	894	1762	694	∞	1096	956	∞	952	714	560	702	1068	1164	1776	720	624	1542	912	860	2182	728	566	942	1548	502	586	626	1086	1642	
760	952	822	∞	∞	∞	∞	∞	∞	764	540	1088	978	888	∞	832	1020	742	700	928	732	740	906	626	∞	1554	858	784	802	868	580	1056	782	
538	∞	764	872	∞	804	1554	553	574	878	1310	964	530	694	1344	582	2008	922	966	1130	678	696	838	∞	586	798	946	742	642	∞	∞	628	722	
683	676	1236	872	1092	1546	752	1020	1564	870	∞	678	588	1128	754	572	∞	990	674	862	920	1042	538	748	680	1044	646	540	982	820	618	526	730	
876	∞	1552	714	1182	710	846	676	1196	950	524	1092	1030	828	∞	∞	954	1042	576	732	560	1016	934	828	584	632	1492	1004	836	936	1146	676	1212	
790	1316	984	582	676	∞	740	956	1200	982	1562	686	1148	800	606	944	862	1256	1154	672	665	1200	608	1094	874	678	1138	970	920	858	584	1346	694	
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890	1210	722	930	1076	1024	754	1078	742	575	636	∞																						

Missing computational details 🔍

- ❶ For all $k \geq 2$, $|S_k| \geq \Sigma_k$, where

$$\Sigma_k = \sum_{p \geq 1} \ell(\ell-1)^{k-p-1}(\ell-2)^p \text{Cat}(k-1, p) + \ell(\ell-1)^{k-p-1}(\ell-2)^{p-1} \text{Cat}(k-2, p-1).$$

- ❷ The generating series $\mathcal{C}(x, y) = \sum_{k, p \geq 0} \text{Cat}(k, p)x^k y^p$ coincides with

$$\mathcal{C}(x, y) = \frac{1 + x - xy - \sqrt{(1 + x - xy)^2 - 4x}}{2x}.$$

- ❸ We prove by induction that $|W_{k+1}| \geq \lambda |W_k|$:

$$\frac{|W_{n+1}|}{|W_n|} \geq (\ell-1) - \frac{1}{\ell(\ell-1)} \sum_{k \geq 2} \lambda^{3-2k} \Sigma_k = (\ell-1) + \frac{\lambda}{\ell-1} - \frac{\lambda^2 + 1}{\lambda(\ell-1)} \mathcal{C}\left(\frac{\ell-1}{\lambda^2}, \frac{\ell-2}{\ell-1}\right) = \lambda.$$

Going to $|A| = 4$ or $|A| = 5$: a (too) optimistic view? 🔍

If there are $|W_\ell^n| \approx \alpha^n \sqcup^2$ -free words in A_ℓ^n , we roughly estimate $|S_\ell^k|$ as follows: there are

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In practice, experiments suggest that $|S_\ell^k| \approx \beta^k$ for some $\beta < \alpha^2 \dots$