

# Explicit Generating Series for Small-Step Walks in the Quarter Plane

Frédéric Chyzak



October 8, 2015

Based on work with A. Bostan, M. van Hoeij, M. Kauers, and L. Pech

# Lattice Walks, Why?

## Applications in many areas of science

- discrete mathematics (permutations, trees, words, urns, ...)
- statistical physics (Ising model, ...)
- probability theory (branching processes, games of chance, ...)
- operations research (queueing theory, ...)

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## A history and a survey of lattice path enumeration

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Reflection principle  
Method of images

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### ABSTRACT

In celebration of the Sixth International Conference on Lattice Path Counting and Applications, it is befitting to review the history of lattice path enumeration and to survey how the topic has progressed thus far.

We start the history with early games of chance specifically the ruin problem which later appears as the ballot problem. We discuss André's Reflection Principle and its misnomer, its relation with the method of images and possible origins from physics and Brownian motion, and the earliest evidence of lattice path techniques and solutions.

In the survey, we give representative articles on lattice path enumeration found in the literature in the last 35 years by the lattice, step set, boundary, characteristics counted, and solution method. Some of this work appears in the author's 2005 dissertation.

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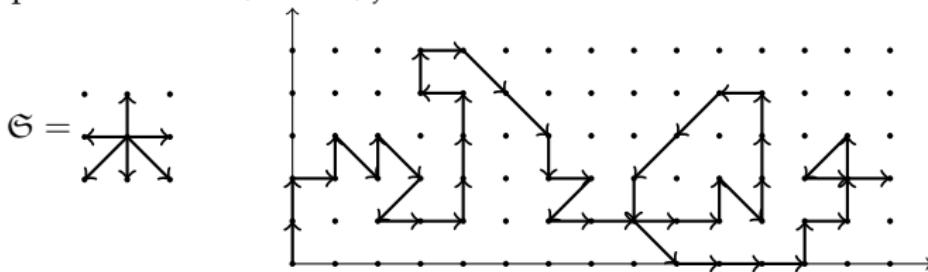
This talk:  
**Computer Algebra applied to Combinatorics**

# Enumerative Combinatorics of Lattice Walks

- ▷ Nearest-neighbor walks in the quarter plane = walks in  $\mathbb{N}^2$  starting at  $(0,0)$  and using steps in a *fixed* subset  $S$  of

$$\{\swarrow, \leftarrow, \nwarrow, \uparrow, \nearrow, \rightarrow, \searrow, \downarrow\}.$$

- Example with  $n = 45$ ,  $i = 14$ ,  $j = 2$  for:

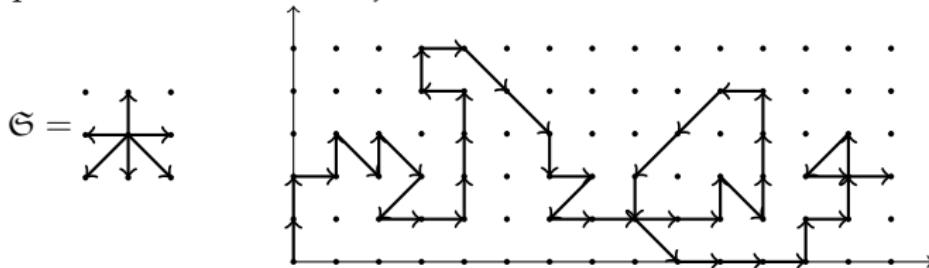


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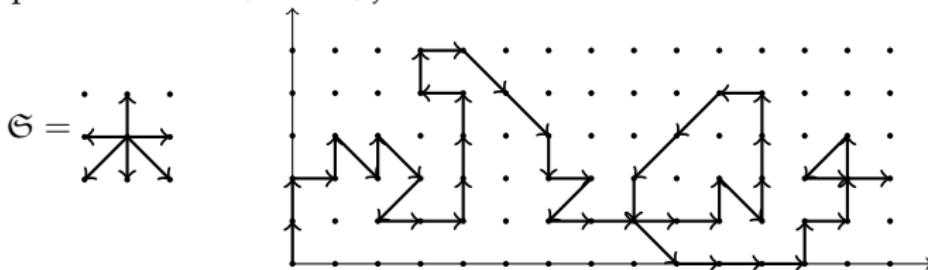
- ▷ Counting sequence:  $f_{n;i,j}$  = number of walks of length  $n$  ending at  $(i,j)$ .

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- ▷ Counting sequence:  $f_{n;i,j}$  = number of walks of length  $n$  ending at  $(i,j)$ .

- ▷ Specializations:

- $f_{n;0,0}$  = number of walks of length  $n$  returning to origin ("excursions");
- $f_n = \sum_{i,j \geq 0} f_{n;i,j}$  = number of walks with prescribed length  $n$ .

# Generating Series and Combinatorial Problems

▷ Complete generating series:  $F(x, y; t) = \sum_{n=0}^{\infty} \left( \sum_{i,j=0}^{\infty} f_{n;i,j} x^i y^j \right) t^n \in \mathbb{Q}[x, y][[t]].$

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Combinatorial questions: Given  $\mathfrak{S}$ , what can be said about  $F(x, y; t)$ , resp.  $f_{n;i,j}$ , and their variants?

- Algebraic nature of  $F$ : algebraic? transcendental?
- Explicit form: of  $F$ ? of  $f$ ?
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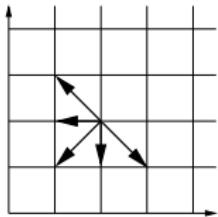
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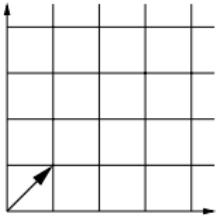
Our goal: Use computer algebra to give computational answers.

# Small-Step Models of Interest

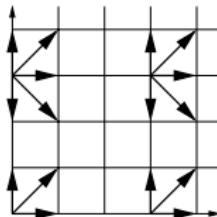
From the  $2^8$  step sets  $\mathfrak{S} \subseteq \{-1, 0, 1\}^2 \setminus \{(0, 0)\}$ , some are:



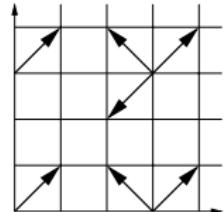
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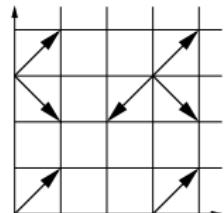
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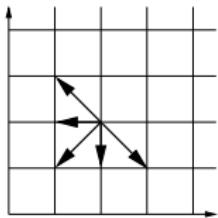


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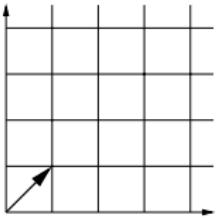


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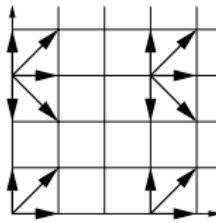
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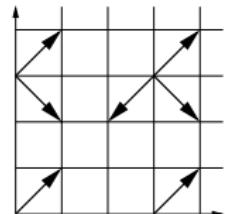
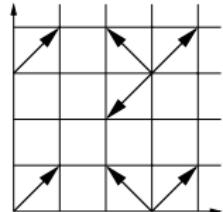
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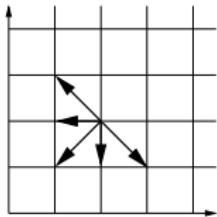


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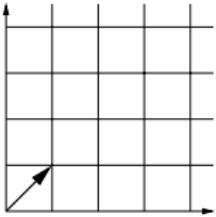
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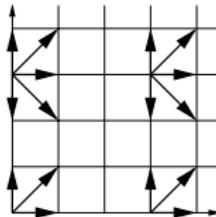
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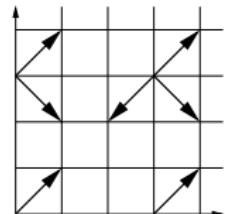
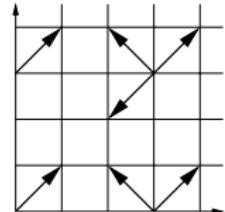
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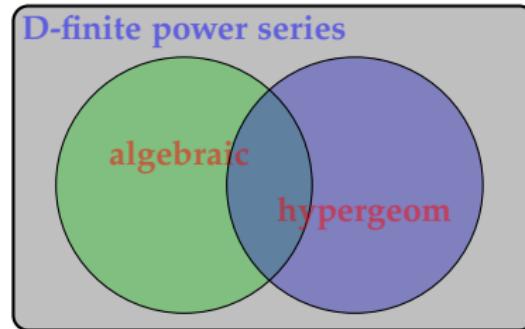


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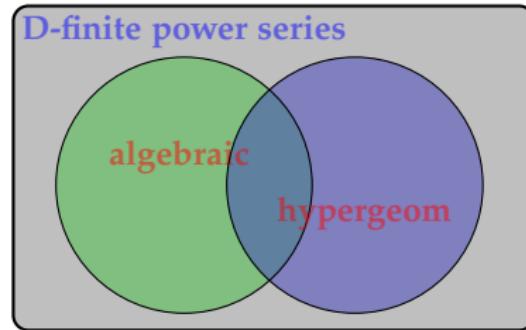
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Is any further classification possible?

# Classification of Univariate Power Series

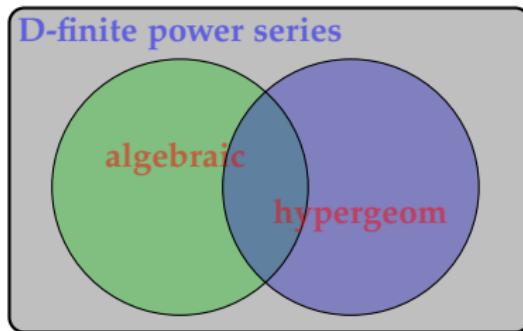


# Classification of Univariate Power Series



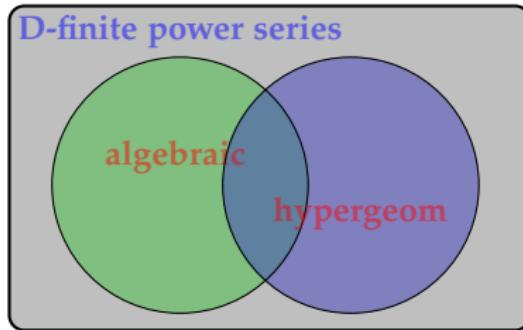
- ▷ *Algebraic:*  $S(t) \in \mathbb{Q}[[t]]$  root of a polynomial  $P \in \mathbb{Q}[t, T]$ , i.e.,  $P(t, S(t)) = 0$ .

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- ▷ *Hypergeometric:*  $S(t) = \sum_{n=0}^{\infty} s_n t^n$  such that  $\frac{s_{n+1}}{s_n} \in \mathbb{Q}(n)$ . E.g.,  
$${}_2F_1\left(\begin{matrix} a & b \\ c \end{matrix} \middle| t\right) = \sum_{n=0}^{\infty} \frac{(a)_n(b)_n}{(c)_n} \frac{t^n}{n!}, \quad (a)_n = a(a+1)\cdots(a+n-1),$$
$$t(1-t)S''(t) + (c - (a+b+1)t)S'(t) - abS(t) = 0.$$

# Table of All Conjectured D-Finite $F(1, 1; t)$ [Bostan & Kauers, 2009]

	OEIS	$\mathfrak{S}$	alg ord	equiv		OEIS	$\mathfrak{S}$	alg ord	equiv		
1	A005566		N	3	$\frac{4}{\pi} \frac{4^n}{n}$	13	A151275		N	5	$\frac{12\sqrt{30}}{\pi} \frac{(2\sqrt{6})^n}{n^2}$
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3	A151312		N	3	$\frac{\sqrt{6}}{\pi} \frac{6^n}{n}$	15	A151255		N	5	$\frac{24\sqrt{2}}{\pi} \frac{(2\sqrt{2})^n}{n^2}$
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5	A151266		N	5	$\frac{1}{2} \sqrt{\frac{3}{\pi}} \frac{3^n}{n^{1/2}}$	17	A001006		Y	3	$\frac{3}{2} \sqrt{\frac{3}{\pi}} \frac{3^n}{n^{3/2}}$
6	A151307		N	5	$\frac{1}{2} \sqrt{\frac{5}{2\pi}} \frac{5^n}{n^{1/2}}$	18	A129400		Y	3	$\frac{3}{2} \sqrt{\frac{3}{\pi}} \frac{6^n}{n^{3/2}}$
7	A151291		N	5	$\frac{4}{3\sqrt{\pi}} \frac{4^n}{n^{1/2}}$	19	A005558		N	4	$\frac{8}{\pi} \frac{4^n}{n^2}$
8	A151326		N	5	$\frac{2}{\sqrt{3}\pi} \frac{6^n}{n^{1/2}}$						
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10	A151329		N	5	$\frac{1}{3} \sqrt{\frac{7}{3\pi}} \frac{7^n}{n^{1/2}}$	21	A151278		Y	$\frac{3\sqrt{3}}{\sqrt{2}\Gamma(1/4)} \frac{3^n}{n^{3/4}}$	
11	A151261		N	5	$\frac{12\sqrt{3}}{\pi} \frac{(2\sqrt{3})^n}{n^2}$	22	A151323		Y	$\frac{\sqrt{23}^{3/4}}{\Gamma(1/4)} \frac{6^n}{n^{3/4}}$	
12	A151297		N	5	$\frac{\sqrt{3}B^{7/2}}{2\pi} \frac{(2B)^n}{n^2}$	23	A060900		Y	$\frac{4\sqrt{3}}{3\Gamma(1/3)} \frac{4^n}{n^{2/3}}$	

$$A = 1 + \sqrt{2}, \quad B = 1 + \sqrt{3}, \quad C = 1 + \sqrt{6}, \quad \lambda = 7 + 3\sqrt{6}, \quad \mu = \sqrt{\frac{4\sqrt{6}-1}{19}}$$

► Computerized discovery of ODE/poly. by enumeration + Hermite–Padé.

# Table of All Conjectured D-Finite $F(1, 1; t)$ [Bostan & Kauers, 2009]

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► Computerized discovery of asymptotics by enumeration + LLL/PSLQ.

# Further Previous Work

## Confirmation of D-finiteness

- ▷ Human proofs for cases 1–22 in [Bousquet-Mélou & Mishna, 2010], but method **not adapted to exhibit ODEs.**
- ▷ Computer proof for case 23 in [Bostan & Kauers, 2010].

## Fix of asymptotic formulas (first observed/proved by Melczer)

In fact:

	OEIS	$\mathfrak{S}$	equiv
11	A151261		$\begin{cases} \frac{12\sqrt{3}}{\pi} \frac{(2\sqrt{3})^n}{n^2} & (n = 2p) \\ \frac{18}{\pi} \frac{(2\sqrt{3})^n}{n^2} & (n = 2p + 1) \end{cases}$
13	A151275		$\begin{cases} \frac{12\sqrt{30}}{\pi} \frac{(2\sqrt{6})^n}{n^2} & (n = 2p) \\ \frac{144}{\sqrt{5}\pi} \frac{(2\sqrt{6})^n}{n^2} & (n = 2p + 1) \end{cases}$
15	A151255		$\begin{cases} \frac{24\sqrt{2}}{\pi} \frac{(2\sqrt{2})^n}{n^2} & (n = 2p) \\ \frac{32}{\pi} \frac{(2\sqrt{2})^n}{n^2} & (n = 2p + 1) \end{cases}$

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- ▷ Proof of algebricity, resp. transcendence, of those series.
- ▷ Similar proofs for  $F(0, 0; t)$ ,  $F(0, 1; t)$ , and  $F(1, 0; t)$ .
  
- ▷ Similar conjectured asymptotic formulas for  $F(0, 0; t)$ ,  $F(0, 1; t)$ ,  $F(1, 0; t)$ .

# Table of D-Finite $F(x, y; t)$ at $x = y = 0$ [This work]

	OEIS	$\mathfrak{S}$	alg	conj'd equiv		OEIS	$\mathfrak{S}$	alg	conj'd equiv	
1	A005568		N	$\begin{cases} \frac{32}{\pi} \frac{4^n}{n^3} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$		13	A151345		$\begin{cases} \frac{24\sqrt{30}}{25\pi} \frac{(2\sqrt{6})^n}{n^3} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$	
2	A001246		N	$\begin{cases} \frac{8}{\pi} \frac{4^n}{n^3} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$		14	A151370		$\frac{2\mu^3 C^{3/2}}{\pi} \frac{(2C)^n}{n^3}$	
3	A151362		N	$\begin{cases} \frac{3\sqrt{6}}{\pi} \frac{6^n}{n^3} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$		15	A151332		$\begin{cases} \frac{16\sqrt{2}}{\pi} \frac{(2\sqrt{2})^n}{n^3} & (n = 4p) \\ 0 & (n = 4p + 1, 2, 3) \end{cases}$	
4	A172361		N	$\frac{128}{27\pi} \frac{8^n}{n^3}$		16	A151357		$\frac{2A^{3/2}}{\pi} \frac{(2A)^n}{n^3}$	
5	A151332		N	$\begin{cases} \frac{16\sqrt{2}}{\pi} \frac{(2\sqrt{2})^n}{n^3} & (n = 4p) \\ 0 & (n = 4p + 1, 2, 3) \end{cases}$		17	A151334		$\begin{cases} \frac{81\sqrt{3}}{\pi} \frac{3^n}{n^4} & (n = 3p) \\ 0 & (n = 3p + 1, 2) \end{cases}$	
6	A151357		N	$\frac{2A^{3/2}}{\pi} \frac{(2A)^n}{n^3}$		18	A151366		$\frac{27\sqrt{3}}{\pi} \frac{6^n}{n^4}$	
7	A151341		N	$\begin{cases} \frac{12\sqrt{3}}{\pi} \frac{(2\sqrt{3})^n}{n^3} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$		19	A138349		$\begin{cases} \frac{768}{\pi} \frac{4^n}{n^5} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$	
8	A151368		N	$\frac{2B^{3/2}}{\pi} \frac{(2B)^n}{n^3}$						
9	A151345		N	$\begin{cases} \frac{24\sqrt{30}}{25\pi} \frac{(2\sqrt{6})^n}{n^3} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$						
10	A151370		N	$\frac{2\mu^3 C^{3/2}}{\pi} \frac{(2C)^n}{n^3}$						
11	A151341		N	$\begin{cases} \frac{12\sqrt{3}}{\pi} \frac{(2\sqrt{3})^n}{n^3} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$						
12	A151368		N	$\frac{2B^{3/2}}{\pi} \frac{(2B)^n}{n^3}$						

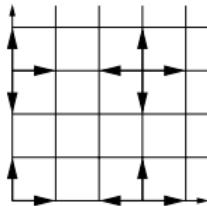
# Table of D-Finite $F(x, y; t)$ at $x = 0, y = 1$ [This work]

	OEIS		alg	conj'd equiv		OEIS		alg	conj'd equiv
1	A005558		N	$\frac{8}{\pi} \frac{4^n}{n^2}$		12	A151472		$\frac{3B^{7/2}}{2\pi} \frac{(2B)^n}{n^3}$
2	A151392		N	$\begin{cases} \frac{4}{\pi} \frac{4^n}{n^2} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$		13	A151437		$\begin{cases} \frac{72\sqrt{30}}{5\pi} \frac{(2\sqrt{6})^n}{n^3} & (n = 2p) \\ \frac{864\sqrt{5}}{25\pi} \frac{(2\sqrt{6})^n}{n^3} & (n = 2p + 1) \end{cases}$
3	A151478		N	$\frac{3\sqrt{6}}{2\pi} \frac{6^n}{n^2}$		14	A151492		$\frac{6\lambda\mu^3 C^{5/2}}{5\pi} \frac{(2C)^n}{n^3}$
4	A151496		N	$\frac{32}{9\pi} \frac{8^n}{n^2}$		15	A151375		$\begin{cases} \frac{448\sqrt{2}}{9\pi} \frac{(2\sqrt{2})^n}{n^3} & (n = 4p) \\ \frac{640}{9\pi} \frac{(2\sqrt{2})^n}{n^3} & (n = 4p + 1) \\ \frac{416\sqrt{2}}{9\pi} \frac{(2\sqrt{2})^n}{n^3} & (n = 4p + 2) \\ \frac{512}{9\pi} \frac{(2\sqrt{2})^n}{n^3} & (n = 4p + 3) \end{cases}$
5	A151380		N	$\frac{3}{4} \sqrt{\frac{3}{\pi}} \frac{3^n}{n^{3/2}}$		16	A151430		$\frac{4A^{7/2}}{\pi} \frac{(2A)^n}{n^3}$
6	A151450		N	$\frac{5}{16} \sqrt{\frac{10}{\pi}} \frac{5^n}{n^{3/2}}$		17	A151378		$\frac{27}{8} \sqrt{\frac{3}{\pi}} \frac{3^n}{n^{5/2}}$
7	A148790		N	$\frac{8}{3\sqrt{\pi}} \frac{4^n}{n^{3/2}}$		18	A151483		$\frac{27}{8} \sqrt{\frac{3}{\pi}} \frac{6^n}{n^{5/2}}$
8	A151485		N	$\sqrt{\frac{3}{\pi}} \frac{6^n}{n^{3/2}}$		19	A005568		$\begin{cases} \frac{32}{\pi} \frac{4^n}{n^3} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$
9	A151440		N	$\frac{5}{24} \sqrt{\frac{10}{\pi}} \frac{5^n}{n^{3/2}}$					
10	A151493		N	$\frac{7}{54} \sqrt{\frac{21}{\pi}} \frac{7^n}{n^{3/2}}$					
11	A151394		N	$\begin{cases} \frac{36\sqrt{3}}{\pi} \frac{(2\sqrt{3})^n}{n^3} & (n = 2p) \\ \frac{54}{\pi} \frac{(2\sqrt{3})^n}{n^3} & (n = 2p + 1) \end{cases}$					

# Table of D-Finite $F(x, y; t)$ at $x = 1, y = 0$ [This work]

	OEIS	$\mathfrak{S}$	alg	conj'd equiv		OEIS	$\mathfrak{S}$	alg	conj'd equiv
1	A005558		N	$\frac{8}{\pi} \frac{4^n}{n^2}$		12	A151464		$\frac{2B^{3/2}\sqrt{3}}{3\pi} \frac{(2B)^n}{n^2}$
2	A151392		N	$\begin{cases} \frac{4}{\pi} \frac{4^n}{n^2} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$		13	A151423		$\begin{cases} \frac{4\sqrt{30}}{5\pi} \frac{(2\sqrt{6})^n}{n^2} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$
3	A151471		N	$\begin{cases} \frac{2\sqrt{6}}{\pi} \frac{6^n}{n^2} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$		14	A151490		$\frac{\sqrt{6}\mu C^{3/2}}{3\pi} \frac{(2C)^n}{n^2}$
4	A151496		N	$\frac{32}{9\pi} \frac{8^n}{n^2}$		15	A151379		$\begin{cases} \frac{4\sqrt{2}}{\pi} \frac{(2\sqrt{2})^n}{n^2} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$
5	A151379		N	$\begin{cases} \frac{4\sqrt{2}}{\pi} \frac{(2\sqrt{2})^n}{n^2} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$		16	A148934		$\frac{\sqrt{2}A^{3/2}}{\pi} \frac{(2A)^n}{n^2}$
6	A148934		N	$\frac{\sqrt{2}A^{3/2}}{\pi} \frac{(2A)^n}{n^2}$		17	A151497		$\frac{27}{8} \sqrt{\frac{3}{\pi}} \frac{3^n}{n^{5/2}}$
7	A151410		N	$\begin{cases} \frac{4\sqrt{3}}{\pi} \frac{(2\sqrt{3})^n}{n^2} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$		18	A151483		$\frac{27}{8} \sqrt{\frac{3}{\pi}} \frac{6^n}{n^{5/2}}$
8	A151464		N	$\frac{2B^{3/2}\sqrt{3}}{3\pi} \frac{(2B)^n}{n^2}$		19	A005817		$\frac{32}{\pi} \frac{4^n}{n^3}$
9	A151423		N	$\begin{cases} \frac{4\sqrt{30}}{5\pi} \frac{(2\sqrt{6})^n}{n^2} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$					
10	A151490		N	$\frac{\sqrt{6}\mu C^{3/2}}{3\pi} \frac{(2C)^n}{n^2}$					
11	A151410		N	$\begin{cases} \frac{4\sqrt{3}}{\pi} \frac{(2\sqrt{3})^n}{n^2} & (n = 2p) \\ 0 & (n = 2p + 1) \end{cases}$					

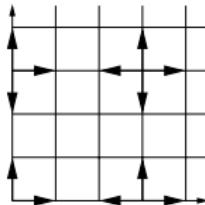
## The Kernel Equation [ $\leq$ Knuth, 1968]: an Example, $\leftrightarrow$



walk of length  $n + 1 =$

walk of length  $n$  followed by a step from  $\{\leftarrow, \uparrow, \rightarrow, \downarrow\}$

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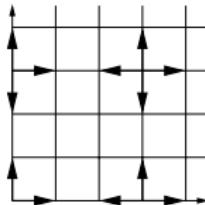


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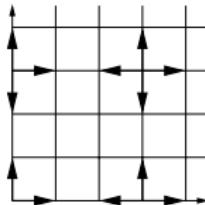
walk of length  $n$  followed by a step from  $\{\leftarrow, \uparrow, \rightarrow, \downarrow\}$ ,

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Recurrence relation:

$$f_{n+1;i,j} = f_{n;i+1,j} + \llbracket 0 < j \rrbracket f_{n;i,j-1} + \llbracket 0 < i \rrbracket f_{n;i-1,j} + f_{n;i,j+1}.$$

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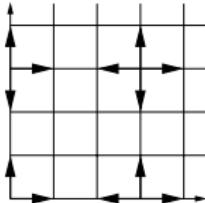
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$$\begin{aligned} f_{n+1;i,j} x^i y^j t^{n+1} &= \left( f_{n;i+1,j} x^{i+1} y^j t^n \right) \times \bar{x}t + \llbracket 0 < j \rrbracket \left( f_{n;i,j-1} x^i y^{j-1} t^n \right) \times yt + \\ &\quad \llbracket 0 < i \rrbracket \left( f_{n;i-1,j} x^{i-1} y^j t^n \right) \times xt + \left( f_{n;i,j+1} x^i y^{j+1} t^n \right) \times \bar{y}t, \end{aligned}$$

Notation:  $\bar{x} = \frac{1}{x}$ ,  $\bar{y} = \frac{1}{y}$ .

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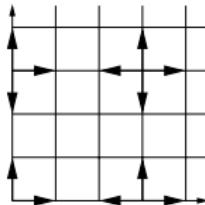
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$$f_{n+1;i,j} x^i y^j t^{n+1} = \left( f_{n;i+1,j} x^{i+1} y^j t^n \right) \times \bar{x}t + \llbracket 0 < j \rrbracket \left( f_{n;i,j-1} x^i y^{j-1} t^n \right) \times yt + \\ \llbracket 0 < i \rrbracket \left( f_{n;i-1,j} x^{i-1} y^j t^n \right) \times xt + \left( f_{n;i,j+1} x^i y^{j+1} t^n \right) \times \bar{y}t,$$

$$F(x, y; t) - 1 = (F(x, y; t) - F(0, y; t)) \times \bar{x}t + F(x, y; t) \times yt + \\ F(x, y; t) \times xt + (F(x, y; t) - F(x, 0; t)) \times \bar{y}t,$$

Notation:  $\bar{x} = \frac{1}{x}$ ,  $\bar{y} = \frac{1}{y}$ .

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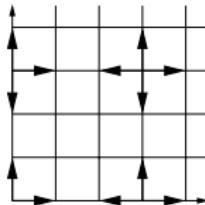
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Functional (“kernel”) equation:

$$(1 - t(x + \bar{x} + y + \bar{y})) F(x, y; t) = -\bar{y}tF(x, 0; t) - \bar{x}tF(0, y; t) + 1.$$

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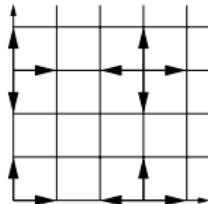
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Remarks:

- Erasing the constraint leads to a rational generating series.
- Direct attempt to solve leads to tautologies.

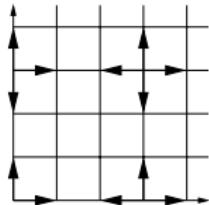
## D-Finiteness via the Finite Group: an Example, $\leftrightarrow$



$J = 1 - t \sum_{(i,j) \in \mathfrak{S}} x^i y^j = 1 - t(x + \bar{x} + y + \bar{y})$  is **invariant** under the change of  $(x, y)$  into, respectively:

$$(\bar{x}, y), (\bar{x}, \bar{y}), (x, \bar{y}).$$

# D-Finiteness via the Finite Group: an Example, $\leftrightarrow$



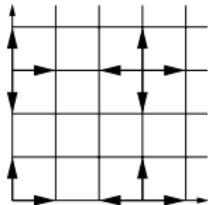
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$$J(x, y; t) xy F(x, y; t) = -txF(x, 0; t) - tyF(0, y; t) + xy,$$

# D-Finiteness via the Finite Group: an Example, $\leftrightarrow$



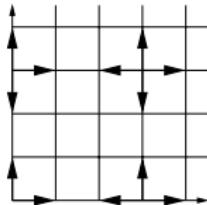
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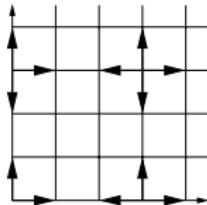
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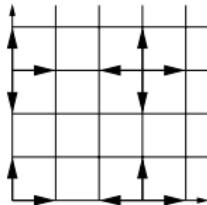
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# D-Finiteness via the Finite Group: an Example, $\leftrightarrow$



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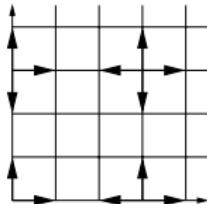
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Summing up yields:

$$J(x, y; t) \sum_{g \in \mathcal{G}} \text{sign}(g) g(xy F(x, y; t)) = xy - \bar{x}y + \bar{x}\bar{y} - x\bar{y}.$$

# D-Finiteness via the Finite Group: an Example, $\leftrightarrow$



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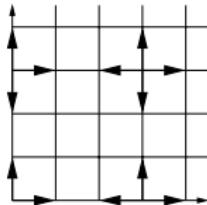
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$$\sum_{g \in \mathcal{G}} \text{sign}(g) g(xy F(x, y; t)) = \frac{xy - \bar{x}y + \bar{x}\bar{y} - x\bar{y}}{J(x, y; t)}.$$

# D-Finiteness via the Finite Group: an Example, $\leftrightarrow$



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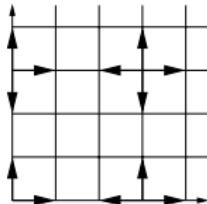
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$$[x^>][y^>] \sum_{g \in \mathcal{G}} \text{sign}(g) g(xyF(x, y; t)) = [x^>][y^>] \frac{xy - \bar{x}y + \bar{x}\bar{y} - x\bar{y}}{J(x, y; t)}.$$

# D-Finiteness via the Finite Group: an Example, $\leftrightarrow$



$J = 1 - t \sum_{(i,j) \in \mathfrak{S}} x^i y^j = 1 - t(x + \bar{x} + y + \bar{y})$  is invariant under the change of  $(x, y)$  into, respectively:

$$(\bar{x}, y), (\bar{x}, \bar{y}), (x, \bar{y}).$$

Kernel equation:

$$\begin{aligned} J(x, y; t)xyF(x, y; t) &= -txF(x, 0; t) - tyF(0, y; t) + xy, \\ -J(x, y; t)\bar{x}yF(\bar{x}, y; t) &= t\bar{x}F(\bar{x}, 0; t) + tyF(0, y; t) - \bar{x}y, \\ J(x, y; t)\bar{x}\bar{y}F(\bar{x}, \bar{y}; t) &= -t\bar{x}F(\bar{x}, 0; t) - t\bar{y}F(0, \bar{y}; t) + \bar{x}\bar{y}, \\ -J(x, y; t)x\bar{y}F(x, \bar{y}; t) &= txF(x, 0; t) + t\bar{y}F(0, \bar{y}; t) - x\bar{y}. \end{aligned}$$

Summing up yields:

$$xyF(x, y; t) = [x^>][y^>] \frac{xy - \bar{x}y + \bar{x}\bar{y} - x\bar{y}}{J(x, y; t)}.$$

Cases 1–19 are D-Finite

$$J = 1 - t \sum_{(i,j) \in \mathfrak{S}} x^i y^j \quad \longrightarrow \quad \text{a group } \mathcal{G} \text{ of birational transformations}$$

Theorem [Bousquet-Mélou & Mishna, 2010]

Let  $\mathfrak{S}$  be one of the step sets 1–19. Then, the group  $\mathcal{G}$  is finite and:

$$xy F(x, y; t) = [x^>][y^>] \frac{\sum_{g \in \mathcal{G}} \text{sign}(g) g(xy)}{J(x, y; t)}.$$

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- ▷ Constructive proof, but **impractical** to get an ODE for  $F(x, y; t)$ .

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- ▷ Remark: The formula provides no direct information for  $x = y = 1$ .

# Explicit Expressions for the Cases 1–19

Theorem [This work]

Let  $\mathfrak{S}$  be one of the step sets 1–19. Then, the generating series  $F(x, y; t)$  is expressible using iterated integrals of  ${}_2F_1$  functions.

# Explicit Expressions for the Cases 1–19

Theorem [This work]

Let  $\mathfrak{S}$  be one of the step sets 1–19. Then, the generating series  $F(1, 1; t)$  is expressible using iterated integrals of  ${}_2F_1$  functions.

Example: King walks in the quarter plane (A025595,  )

$$\begin{aligned} F(1, 1; t) &= \frac{1}{t} \int_0^t \frac{1}{(1+4x)^3} \cdot {}_2F_1\left(\begin{matrix} \frac{3}{2}, \frac{3}{2} \\ 2 \end{matrix} \middle| \frac{16x(1+x)}{(1+4x)^2}\right) dx \\ &= 1 + 3t + 18t^2 + 105t^3 + 684t^4 + 4550t^5 + 31340t^6 + 219555t^7 + \dots \end{aligned}$$

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Proved by deriving and solving:

$$\begin{aligned} t^2(4t+1)(8t-1)(2t-1)(t+1)y''' &+ t(576t^4 + 200t^3 - 252t^2 - 33t + 5)y'' + \\ (1152t^4 + 88t^3 - 468t^2 - 48t + 4)y' &+ (384t^3 - 72t^2 - 144t - 12)y = 0. \end{aligned}$$

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► Proof uses Creative telescoping, ODE factorization, ODE solving:

- ① If  $R = \sum_g \frac{\text{sign}(g) g(xy)}{J(x,y;t)}$ , then  $F = \text{Res}_{u,v} H$ , for  $H = \frac{R(1/u, 1/v; t)}{(1-xu)(1-yv)}$ .
- ② If  $L \in \mathbb{Q}(x, y)[t]\langle\partial_t\rangle$  and  $U, V \in \mathbb{Q}(x, y, u, v, t)$  such that  $L(H) = \partial_u U + \partial_v V$ , then  $L(F(x, y; t)) = 0$ .  
Use creative telescoping to find  $L$  (as well as  $U$  and  $V$ ).
- ③ Factor  $L$  as  $L_2 \cdot P_1 \cdots P_t$ , where  $L_2$  has order  $\leq 2$  and the  $P_i$  have order 1.
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Taking algebraic residues commutes with specializing  $x$  and  $y$ !

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Works in practice with early evaluation  $(x, y) = (1, 1)$ , but not for symbolic  $(x, y)$ .

Works also for  $(0, 0)$ ,  $(x, 0)$ , and  $(0, y)$ !

- ③ Factor  $L$  as  $L_2 \cdot P_1 \cdots P_t$ , where  $L_2$  has order  $\leq 2$  and the  $P_i$  have order 1.

- ④ Solve  $L_2$  in terms of  ${}_2F_1$ s and deduce  $F$ .

- ⑤ For  $F(x, y; t)$ , run whole process for  $F(0, 0; t)$ ,  $F(x, 0; t)$ , and  $F(0, y; t)$ , then substitute into kernel equation!

# Hypergeometric Series Occurring in Explicit Expressions for $F(x, y; t)$

$\mathfrak{S}$	occurring ${}_2F_1$	$w$	$\mathfrak{S}$	occurring ${}_2F_1$	$w$		
1		${}_2F_1\left(\begin{matrix} \frac{1}{2}, \frac{1}{2} \\ 1 \end{matrix} \middle  w\right)$	$16t^2$	11		${}_2F_1\left(\begin{matrix} \frac{1}{2}, \frac{1}{2} \\ 1 \end{matrix} \middle  w\right)$	$\frac{16t^2}{4t^2+1}$
2		${}_2F_1\left(\begin{matrix} \frac{1}{2}, \frac{1}{2} \\ 1 \end{matrix} \middle  w\right)$	$16t^2$	12		${}_2F_1\left(\begin{matrix} \frac{1}{4}, \frac{3}{4} \\ 1 \end{matrix} \middle  w\right)$	$\frac{64t^3(2t+1)}{(8t^2-1)^2}$
3		${}_2F_1\left(\begin{matrix} \frac{1}{4}, \frac{3}{4} \\ 1 \end{matrix} \middle  w\right)$	$\frac{64t^2}{(12t^2+1)^2}$	13		${}_2F_1\left(\begin{matrix} \frac{1}{4}, \frac{3}{4} \\ 1 \end{matrix} \middle  w\right)$	$\frac{64t^2(t^2+1)}{(16t^2+1)^2}$
4		${}_2F_1\left(\begin{matrix} \frac{1}{2}, \frac{1}{2} \\ 1 \end{matrix} \middle  w\right)$	$\frac{16t(t+1)}{(4t+1)^2}$	14		${}_2F_1\left(\begin{matrix} \frac{1}{4}, \frac{3}{4} \\ 1 \end{matrix} \middle  w\right)$	$\frac{64t^2(t^2+t+1)}{(12t^2+1)^2}$
5		${}_2F_1\left(\begin{matrix} \frac{1}{4}, \frac{3}{4} \\ 1 \end{matrix} \middle  w\right)$	$64t^4$	15		${}_2F_1\left(\begin{matrix} \frac{1}{4}, \frac{3}{4} \\ 1 \end{matrix} \middle  w\right)$	$64t^4$
6		${}_2F_1\left(\begin{matrix} \frac{1}{4}, \frac{3}{4} \\ 1 \end{matrix} \middle  w\right)$	$\frac{64t^3(t+1)}{(1-4t^2)^2}$	16		${}_2F_1\left(\begin{matrix} \frac{1}{4}, \frac{3}{4} \\ 1 \end{matrix} \middle  w\right)$	$\frac{64t^3(t+1)}{(1-4t^2)^2}$
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8		${}_2F_1\left(\begin{matrix} \frac{1}{4}, \frac{3}{4} \\ 1 \end{matrix} \middle  w\right)$	$\frac{64t^3(2t+1)}{(8t^2-1)^2}$	18		${}_2F_1\left(\begin{matrix} \frac{1}{3}, \frac{2}{3} \\ 1 \end{matrix} \middle  w\right)$	$27t^2(2t+1)$
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10		${}_2F_1\left(\begin{matrix} \frac{1}{4}, \frac{3}{4} \\ 1 \end{matrix} \middle  w\right)$	$\frac{64t^2(t^2+t+1)}{(12t^2+1)^2}$				

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Observation: Related to complete elliptic integrals,  $E(\sqrt{w})$  and  $K(\sqrt{w})$ .

# Computer Algebra Ingredients (Steps 2 to 4)

## Well-studied algorithms

- Creative telescoping: [Zeilberger, 1990], [Lipshitz, 1988], [Almkvist & Zeilberger, 1990], [Takayama, 1990], [Wilf & Zeilberger, 1990] [Chyzak, 2000], [Koutschan, 2010], [Chen, Kauers, & Singer, 2012], [Bostan, Lairez, & Salvy, 2013], [Lairez, 2015]
- Factorization of ODE: [Beke, 1894], [Schwarz, 1989], [Grigor'ev, 1990], [Singer, 1996], [van Hoeij, 1997]
- Solving with 2F1: [Bostan, Chyzak, van Hoeij, & Pech, 2011], [Fang, van Hoeij, 2011], [Kunwar, van Hoeij, 2013], [Kunwar, 2014], [van Hoeij, Vidunas, 2015], [van Hoeij, Imamoglu, 2015]

Already combined for a simpler problem: Diagonal 3D Rook Paths  
[Bostan, Chyzak, van Hoeij, & Pech, 2011]

Problem: Determine the number  $a_n$  of paths from  $(0,0,0)$  to  $(n,n,n)$  that use positive multiples of  $(1,0,0)$ ,  $(0,1,0)$ , and  $(0,0,1)$ .

Solution:  $G(x) = 1 + 6 \cdot \int_0^x \frac{{}_2F_1\left(\begin{matrix} 1/3 & 2/3 \\ 2 & \end{matrix} \middle| \frac{27w(2-3w)}{(1-4w)^3}\right)}{(1-4w)(1-64w)} dw.$

## Key Idea (Step 1): Encoding Positive Parts as Algebraic Residues

Problem: Definitions of residues and positive parts of rational functions?

$$\cdots - \frac{1}{w^3} - \frac{1}{w^2} - \frac{1}{w} \stackrel{?}{=} \frac{1}{1-w} \stackrel{?}{=} 1 + w + w^2 + \cdots$$

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$$-1 \stackrel{?}{=} \text{Res}_w \frac{1}{1-w} \stackrel{?}{=} 0$$

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$$0 \stackrel{?}{=} [w^>] \frac{1}{1-w} \stackrel{?}{=} w + w^2 + \cdots$$

# Key Idea (Step 1): Encoding Positive Parts as Algebraic Residues

New formula

$$F(a, b; t) = \text{Res}_{x,y} \left[ \frac{\bar{x}\bar{y}R(x, y; t)}{(x-a)(y-b)} \right]_{\Gamma_1} = \text{Res}_{x,y} \left[ \frac{R(\bar{x}, \bar{y}; t)}{(1-ax)(1-by)} \right]_{\Gamma_2}.$$

Interpretation [Aparicio-Monforte & Kauers, 2013]

- $\text{Res}_{x,y}$  is linear on the vector space  $\mathbb{Q}^{\mathbb{Z}^2}$ ;
- the rational functions  $R(x, y; t)$  and  $(x-a)^{-1}(y-b)^{-1}$  are expanded as a series with support in the cone  $\Gamma_1 = \{x^i y^j t^n : i, j \leq n \geq 0\}$ ;
- the rational functions  $R(\bar{x}, \bar{y}; t)$  and  $(1-ax)^{-1}(1-by)^{-1}$  are expanded as a series with support the cone  $\Gamma_2 = \{x^i y^j t^n : -i, j \leq n \geq 0\}$ ;
- a theory of series with support in a cone legitimates the product.

Link with creative telescoping [This work]

$$L(H) = \partial_u U + \partial_v V \implies L([H]_{\Gamma}) = 0$$

provided  $H, U, V$  admit expansions with respect to the same cone  $\Gamma$ .

## Theorem

- In cases 1–19,  $F(x, y; t)$  is transcendental since  $F(0, 0; t)$  is.
- In cases 1–16 and 19,  $F(1, 1; t)$  is transcendental.
- Specific simplifications prove algebraicity of  $F(1, 1; t)$  in cases 17–18.

*Proof:* Define  $G = (P_1 \cdots P_t)(F)$  so that  $L_2(G) = 0$ .

- $F$  is algebraic  $\implies G$  is algebraic.
- Computing a few coefficients of  $G$  shows that this is not 0 on all cases of interest.
- Applying Kovacic's algorithm to  $L_2$  (order 2) or just computing exponential solutions (order 1) **decides** whether  $L_2$  has nonzero algebraic solutions.

# The Problem of Counting Excursions Asymptotically: an Example,

ODE:  $t^3(4t - 1)(12t^2 - 1)(4t^2 + 1)(576t^7 + \dots - 3)\frac{d^5F}{dt^5} + \dots = 0$

Recurrence:  $3(n+11)(n+12)(n+13)(n+14)^2 u_{n+12} + \dots = 0$

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$u_0$	$u_1$	$\dots$	$u_9$	$u_{10}$	$u_{11}$		
1	1	$\dots$	2246	8351	20118		

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Recurrence:  $3(n+11)(n+12)(n+13)(n+14)^2 u_{n+12} + \dots = 0$

$u_0$	$u_1$	$\dots$	$u_9$	$u_{10}$	$u_{11}$	$u_{20}$	$u_{100}$	
1	1	$\dots$	2246	8351	20118	$6.8 \cdot 10^8$	$5.4 \cdot 10^{50}$	

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Recurrence:  $3(n+11)(n+12)(n+13)(n+14)^2 u_{n+12} + \dots = 0$

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e.g.:  $\kappa_1 = 0, \kappa_2 = \frac{6\sqrt{3}+9}{\pi}, \kappa_3 = \frac{6\sqrt{3}-9}{\pi}$

Singularity analysis [Flajolet & Odlyzko, 1990] =

Method to get the asymptotics of Taylor coefficients

$$f(z) = \sum_{n=0}^{\infty} f_n z^n \quad \longrightarrow \quad f_n \sim \dots$$

- Determine **dominant singularities** of the *complex-analytic function*  $f$ .
- Find **asymptotic expansion**

$$f(z) =_{z \rightarrow s} \sum_{\alpha, \gamma} c_{\alpha, \gamma} (s - z)^{\alpha} \left( \ln \frac{1}{s - z} \right)^{\gamma}.$$

- **Syntactic transfer** into an asymptotic expansion for  $f_n$ . E.g., for  $\alpha > 0$ :

$$f(z) =_{z \rightarrow s} c_0 (1 - \rho z)^{\alpha} + c_1 (1 - \rho z)^{\alpha+1} + O((1 - \rho z)^{\alpha+2}) \longrightarrow$$

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D-finite functions are in principle amenable to this method.

# Example of Asymptotic Behaviour Driven by the ${}_2F_1$ : at $(1, 1)$

$$F(1, 1; t) = \frac{1}{t} \int f \quad \text{for } f = (1 - 2t)(1 + 2t)^{-3/2} (1 + 6t)^{-3/2} {}_2F_1\left(\begin{matrix} \frac{3}{2} & \frac{3}{2} \\ 2 & \end{matrix} \middle| w\right)$$

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Singularities:  $\frac{1}{2}, -\frac{1}{2}, -\frac{1}{6}, w = 1, w = \infty \rightarrow$  Dominant singularities =  $\pm \frac{1}{6}$ .

$$f(t) \sim_{t \rightarrow \frac{1}{6}^-} \frac{\sqrt{6}}{\pi} (1 - 6t)^{-1} \quad \longrightarrow \quad \frac{\sqrt{6}}{\pi} 6^n$$

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$$F(1, 1; t) = \frac{1}{t(1-t)} \int \frac{t(4 + \int f)}{(1 - 4t)^{3/2}} \quad \text{where}$$

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$$h = (1+t)(1-4t+8t^2) {}_2F_1\left(\begin{matrix} \frac{1}{2} & \frac{1}{2} \\ 1 & \end{matrix} \middle| w\right) - (1-t) {}_2F_1\left(\begin{matrix} \frac{3}{2} & \frac{1}{2} \\ 1 & \end{matrix} \middle| w\right),$$

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Remark: Showing close enough to 2 already proves behaviour in  $\frac{4^n}{\sqrt{n}}$ .

## Further Examples with Added Difficulties (1/2): at (1,1)

$$Q(t) = \frac{1-2t}{4t^2} \left[ 1 - \frac{\sqrt{1+t}}{\sqrt{1-3t}} \left( 1 - \int_0^t \frac{\phi(u)}{\sqrt{1-3u}} du \right) \right] \quad \text{for } \phi(t) =$$

$$2 \frac{(1-6t^2-8t^3) {}_2F_1\left(\begin{matrix} 1/4 & 3/4 \\ 1 & \end{matrix} \middle| 64t^4\right) + 4t^3(1-7t+4t^2) {}_2F_1\left(\begin{matrix} 3/4 & 5/4 \\ 2 & \end{matrix} \middle| 64t^4\right)}{(1-2t)^2(1+t)^{3/2}}.$$

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$$\int_{1/\sqrt{8}}^t \frac{\phi(u)}{\sqrt{1-3u}} du \sim K \left( \frac{1}{\sqrt{8}} - t \right) \ln \left( \frac{1}{\sqrt{8}} - t \right).$$

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This explains behaviour in  $\frac{\sqrt{8}^n}{n^2}$ .

## Further Examples with Added Difficulties (2/2): at (1,1)

$$\phi(t) = \frac{(1 - 24t^3) {}_2F_1\left(\begin{matrix} 1/2 & 3/2 \\ 2 & \end{matrix} \middle| w(t)\right) + 18t^2(2t - 1) {}_2F_1\left(\begin{matrix} 1/2 & 5/2 \\ 3 & \end{matrix} \middle| w(t)\right)}{(1 - 2t)^2 \sqrt{4t^2 + 1}}.$$

where

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Dominant singularities:  $w(t) = 1$ , that is,  $t = \pm \frac{1}{\sqrt{12}}$ .

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Asymptotic behaviour in  $\kappa(n \bmod 2) \rho^n n^\alpha$ .

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A succession of equations of several types:

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- Three kinds of conjectures now proved:
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Wanted: better understand the systematic emergence of elliptic integrals