# Symmetric functions and interacting particle processes

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joint with Arvind Ayyer and James Martin, arXiv:2011.06117, arXiv:2209.09859

- the asymmetric simple exclusion process (ASEP) → combinatorial formula for Macdonald polynomials and some nice specializations
- modified Macdonald polynomials → the multispecies totally asymmetric zero range process (mTAZRP) and observables

# exactly solvable interacting particle models

• integrable systems: a class of dynamical systems with a certain restricted structure, in particular making them *solvable* 

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- we are interested in studying integrable systems whose exact solutions (e.g. stationary distributions) can be expressed in terms of combinatorial formulas or special functions (e.g. Macdonald polynomials)
- the field was initiated by Spitzer in his 1970 paper where he defined the ASEP (Asymmetric Simple Exclusion Process) and the ZRP (Zero Range Process)



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 dynamics: any two adjacent particles may swap with some predetermined rate (in our case, fixed by a parameter 0 ≤ t ≤ 1):

 $XABY \xrightarrow{1} XBAY$  and  $XBAY \xrightarrow{t} XABY$  for A > B



$$n = 8, \lambda = (3, 2, 2, 2, 1, 0, 0, 0)$$

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$$\alpha = (1, 2, 2, 0, 0, 0, 3, 2) \in \mathsf{ASEP}(\lambda)$$

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- ASEP(λ) is a Markov chain whose states are the compositions α ∈ Sym(λ) that are rearrangements of λ (on a circle: α<sub>n+1</sub> = α<sub>1</sub>)



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- The transitions are swaps of adjacent particles A > B (fix  $0 \le t \le 1$ ):

$$X \textcircled{B} Y \stackrel{1}{\longleftarrow} X \textcircled{B} \textcircled{A} Y$$



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For example, ASEP((2, 2, 1, 0)) has 12 states:

 $(2, 2, 1, 0), (2, 1, 2, 0), (2, 1, 0, 2), (2, 2, 0, 1), (2, 0, 2, 1), (2, 0, 1, 2), (0, 2, 2, 1), \cdots$ 

The transitions from state (2, 1, 2, 0) are:

- (1, 2, 2, 0) with probability t/4 (2, 2, 1, 0) with probability 1/4
- (2,1,0,2) with probability t/4 (0,1,2,2) with probability 1/4



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- (2, 2, 1, 0) with probability 1/4
  - (0, 1, 2, 2) with probability 1/4
- Goal: compute the stationary probabilities

# Example for $\lambda = (2, 1)$ and $\overline{n = 3}$

- the (row stochastic) transition matrix is:

$$\begin{pmatrix} 1 - \frac{2+t}{3} & \frac{1}{3} & \frac{1}{3} & 0 & 0 & \frac{t}{3} \\ \frac{t}{3} & 1 - \frac{1+2t}{3} & 0 & \frac{t}{3} & \frac{1}{3} & 0 \\ \frac{t}{3} & 0 & 1 - \frac{1+2t}{3} & \frac{1}{3} & \frac{t}{3} & 0 \\ 0 & \frac{1}{3} & \frac{t}{3} & 1 - \frac{2+t}{3} & 0 & \frac{1}{3} \\ 0 & \frac{t}{3} & \frac{1}{3} & 0 & 1 - \frac{2+t}{3} & \frac{1}{3} \\ \frac{1}{3} & 0 & \frac{t}{3} & 0 & \frac{t}{3} & 1 - \frac{1+2t}{3} \end{pmatrix}$$

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- the (row stochastic) transition matrix is:



• the (unnormalized) stationary distribution is:

$$\widetilde{\Pr}((2,1,0)) = \widetilde{\Pr}((1,0,2)) = \widetilde{\Pr}((0,2,1)) = 2 + t$$
  
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Define the partition function of  $ASEP(\lambda, n)$ :

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Theorem (Cantini-de Gier-Wheeler '15)

The partition function of ASEP( $\lambda$ , n) is a specialization of the Macdonald polynomial:

 $P_{\lambda}(1,\ldots,1;1,t) = \mathcal{Z}_{\lambda,n}(t)$ 

# Symmetric functions

- Let X = x<sub>1</sub>, x<sub>2</sub>, · · · be a family of indeterminates, and let Λ = Λ<sub>Q</sub> be the algebra of symmetric functions in X over Q
  - $f(x_1, \ldots, x_n) \in \Lambda$  is symmetric if  $\forall \pi \in S_n$ ,  $f(x_1, \ldots, x_n) = f(x_{\pi(1)}, \ldots, x_{\pi(n)})$

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- A has several nice bases: e.g.  $\{m_{\lambda}\}, \{e_{\lambda}\}, \{h_{\lambda}\}, \{p_{\lambda}\}, \text{ indexed by partitions } \lambda$ . E.g.  $m_{(2,1)} = \sum_{i,j} x_i^2 x_j^1 = x_1^2 x_2 + x_1^2 x_3 + x_2^2 x_3 + x_1 x_2^2 + x_1 x_3^2 + x_2 x_3^2 + \cdots$

Let  $\langle , \rangle$  be the standard inner product on  $\Lambda$ .  $\{s_{\lambda}\}$  is the unique basis of  $\Lambda$ :

- i. orthogonal with respect to  $\langle,\rangle$
- ii. upper triangular with respect to  $\{m_{\lambda}\}$ :

$$s_{\lambda} = m_{\lambda} + \sum_{\mu < \lambda} c_{\mu\lambda} m_{\mu}$$

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•  $s_{\lambda} = \sum_{\sigma} x^{\sigma}$  where  $\sigma$ 's are semi-standard fillings of the Young diagram of shape  $\lambda$ E.g.  $s_{(2,1)} = x_1^2 x_2 + x_1^2 x_3 + x_1 x_2^2 + x_1 x_2 x_3 + x_1 x_2 x_3 + x_1 x_3^2 + x_2^2 x_3 + x_2 x_3^2 = m_{(2,1)} + m_{(1,1,1)}$  $2 \frac{1}{11}$   $3 \frac{1}{11}$   $2 \frac{1}{12}$   $3 \frac{2}{13}$   $3 \frac{2}{13}$   $3 \frac{2}{23}$   $3 \frac{2}{23}$ 

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i. orthogonal basis for  $\Lambda(q,t)$  with respect to  $\langle, \rangle_{q,t}$ 

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Example:

$$P_{(2,1)}(X;q,t) = m_{(2,1)} + \frac{(1-t)(2+q+t+2qt)}{1-qt^2} m_{(1,1,1)}.$$

 Haglund-Haiman-Loehr '04 gave a formula for P<sub>λ</sub> as a sum over tableaux with statistics maj and (co)inv:

$$P_{\lambda}(X; q, t) = \sum_{\substack{\sigma \in dg(\lambda) \\ \sigma \text{ non-attacking}}} x^{\sigma} q^{\operatorname{maj}(\sigma)} t^{\operatorname{coinv}(\sigma)} \prod_{u} \frac{1-t}{1-q^{\operatorname{leg}(u)+1} t^{\operatorname{arm}(u)+1}}$$

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 Corteel-M-Williams '18: a new formula for P<sub>λ</sub> in terms of multiline queues, which also give formulas for the stationary distribution of the ASEP; this was inspired by the result of Cantini-de Gier-Wheeler '15

 a multiline queue (MLQ) of type λ, n is an arrangement and pairing of balls on a n × λ<sub>1</sub> lattice, with λ<sub>i</sub> balls in row j.

![](_page_27_Figure_2.jpeg)

Angel '08, Ferrari-Martin '07 (t = 0 case), Martin '18 (for  $q = x_1 = \cdots = x_n = 1$ ), Corteel–M–Williams '18 (general)

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![](_page_28_Figure_3.jpeg)

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![](_page_29_Figure_3.jpeg)

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- The state of a multiline queue is read off the bottom row
- The weight wt(M) of a multiline queue depends on the parameters  $t, q, x_1, \ldots, x_n$ :

weight = 
$$x_1^2 x_2^2 x_3 x_4^2 x_5 x_6^2 q t^2 \frac{(1-t)^3}{(1-qt^3)^2(1-qt^2)}$$

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![](_page_31_Figure_3.jpeg)

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3

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- Can be represented by a tableau, where each string is mapped to a column
- Can be represented by a queueing system and described as a coupled system of 1-ASEPs. The pairing is a projection map onto the n-ASEP.

# From ASEP to Macdonald polynomials

Theorem (Martin '18, Corteel-M-Williams '18)

The (unnormalized) stationary probability of state  $\alpha$  of the mASEP is

$$\widetilde{\Pr}(\alpha)(t) = \sum_{M \in \mathsf{MLQ}(\alpha)} wt(M)(1, \dots, 1; 1, t)$$

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$$P_{\lambda}(1,\ldots,1;1,t) = \mathcal{Z}_{\lambda,n}(t) = \sum_{\alpha \in S_n \cdot \lambda} \widetilde{\mathsf{Pr}}(\alpha)(t).$$

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Theorem (Corteel–M–Williams '18)

$$P_{\lambda}(x_1,\ldots,x_n;q,t) = \sum_{M \in \mathsf{MLQ}(\lambda,n)} \mathsf{wt}(M)(x_1,\ldots,x_n;q,t)$$

(also Lenart '09 for  $\lambda$  with distinct parts.)
• at t = 0, the ASEP becomes the TASEP:



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call MLQ(λ, n) the set of ball arrangements with λ'<sub>j</sub> balls in each row j, on a lattice of size n × λ<sub>1</sub>. (The labels can be recovered uniquely)

$$M = (\{1, 2, 4, 5, 6\}, \{1, 3, 6\}, \{2, 4\})$$

### q = t = 0: Schur polynomials via multiline queues

• at q = 0, the multiline queues are non-wrapping, denote this set by MLQ<sub>0</sub>( $\lambda$ , n):





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### q = t = 0: Schur polynomials via multiline queues

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• at q = 0, the multiline queues are non-wrapping, denote this set by  $MLQ_0(\lambda, n)$ :



 the map MLQ<sub>0</sub>(λ) → SSYT(λ) is given by column RSK applied to the row reading word of the multiline queue. (bottom to top, left to right)

#### Lascoux–Schützenberger charge formula via MLQs

• For a permutation  $\sigma$ , define charge $(\sigma) := maj(rev(\sigma^{-1}))$ . For a SSYT  $\tau$ , charge $(\tau) = charge(rw(\tau))$ .

Theorem (Lascoux-Schützenberger,'78)

$$P_{\lambda}(X;q,0) = \sum_{\mu \leq \lambda} K_{\mu'\lambda'}(q) s_{\mu}, \qquad K_{\lambda\mu}(q) = \sum_{Q \in SSYT(\lambda,\mu)} q^{charge(Q)}$$

• Define collapsing procedure  $\rho$  (with Jerónimo Valencia '23+):

$$\begin{array}{ccc} \mathsf{MLQ}(\lambda) \longrightarrow & \bigcup_{\mu} \mathsf{MLQ}_0(\mu) \times \mathsf{SSYT}(\mu', \lambda') \\ \\ \mathcal{M} \longrightarrow & (\mathcal{M}_0, \mathcal{Q}) \end{array}$$

• charge(Q) = maj(M) (the q-statistic, keeps track of wrapping pairings)

- can be described using lowering operators on the column reading word of M
- lifting procedure  $\rho^{-1}$  can be described using raising operators
- generalizes to a quasisymmetric refinement of K<sub>λμ</sub>(q).



 $M \in MLQ((6, 4, 2))$ 



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5



	4				
	3	6			
	2	2	3	4	
=	1	1	1	2	5

 $M \in MLQ((6, 4, 2))$ 

$$maj(M) = 2 + 1 + 3 = 6$$







 $\lambda = (6, 4, 2)$ maj(M) = 6

 $\lambda = (4, 3, 2, 2, 1)$ maj $(M_0) = 0$ 

#### Lemma

$$\mathsf{RSK}^{\mathit{col}}: \binom{a_1, \ldots, a_n}{b_1, \ldots, b_n} \to \mathsf{SSYT}(\mu') \times \mathsf{SSYT}(\mu)$$

 $\mathsf{RSK}^{col}(\mathsf{rw}(M)) = \mathsf{RSK}^{col}(\mathsf{rw}(\rho(M)))$ 



 $rw(\rho(M)) = 23456|1235|13|2$ 

 $\mathsf{rw}(M) = 234|135|23|15|6|2$ 

#### Theorem

$$s_{\lambda}s_{\mu}=\sum_{
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u}=\sum_{
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• Using the collapsing procedure:

$$\mathsf{MLQ}_0(\lambda) imes \mathsf{MLQ}_0(\mu) o \mathsf{MLQ}_0(
u) imes \mathsf{SSYT}^*(
u'/\lambda',\mu)$$



$$M_1 \in \mathsf{MLQ}(\lambda), \qquad \lambda = (4, 2, 1)$$
$$M_2 \in \mathsf{MLQ}(\mu), \qquad \mu = (3, 3, 2)$$

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$$\begin{array}{ll} M_1 \in \mathsf{MLQ}(\lambda), & \lambda = (4,2,1) \\ M_2 \in \mathsf{MLQ}(\mu), & \mu = (3,3,2) \end{array}$$

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Example:  $\widetilde{H}_{(2,1)}(X;q,t) = m_{(3)} + (1+q+t)m_{(2,1)} + (1+2q+2t+qt)m_{(1,1,1)}$ 

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# From multiline queues to a new formula for $\widetilde{H}_{\lambda}$

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P<sub>λ</sub>(x<sub>1</sub>, x<sub>1</sub>t<sup>-1</sup>, x<sub>1</sub>t<sup>-2</sup>,..., x<sub>2</sub>, x<sub>2</sub>t<sup>-1</sup>, x<sub>2</sub>t<sup>-2</sup>,...; q, t<sup>-1</sup>) should correspond to a multiline queue with countably many columns labeled by

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 the resulting objects are of the same flavor as multiline queues, except that multiple balls are allowed at each location. (This translates to removing the "non-attacking" condition from the corresponding tableaux)

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• while the inv and quinv statistics appear very similar, there does not seem to be an easy way to go from one to the other – is there a bijective proof? Update! Yes there is due to Loehr '22

# motivation for queue inversions: multiline diagrams

The tableaux are actually representing a queueing system which is an arrangement of lattice paths/strings



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 continuous-time stochastic processes (Spitzer '70), can be defined on arbitrary graphs. In our case, we have a circular lattice with n sites.



simplest case: there are k indistinguishable particles, moving clockwise. A configuration τ = (τ<sub>1</sub>,..., τ<sub>n</sub>) is any allocation of the k particles on the n sites.



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- multispecies variant: we now allow different particle types, labeled by integers (particles of the same type are still indistinguishable)
- Kuniba-Maruyama-Okado (2015+) (and others) have studied many multispecies variants of the TAZRP. All of these are integrable! The version we will describe was first studied by Takayama '15

### the mTAZRP: states

 Fix a (circular 1D) lattice on *n* sites and a partition λ = (λ<sub>1</sub> ≥ · · · ≥ λ<sub>k</sub> > 0) for the particle types



n = 5  $\lambda = (4, 3, 3, 2, 2, 1, 1, 1)$  $\tau = (\cdot \mid 321 \mid 422 \mid \cdot \mid 311)$ 

### the mTAZRP: states

- Fix a (circular 1D) lattice on *n* sites and a partition λ = (λ<sub>1</sub> ≥ · · · ≥ λ<sub>k</sub> > 0) for the particle types
- TAZRP(λ, n) is a Markov chain whose states are multiset compositions τ of type λ, with n (possibly empty) parts



n = 5  $\lambda = (4, 3, 3, 2, 2, 1, 1, 1)$  $\tau = (\cdot \mid 321 \mid 422 \mid \cdot \mid 311)$ 

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- For 1 ≤ j ≤ n and k ∈ λ, call f<sub>j</sub>(k) the rate of the jump of particle k from site j to site j + 1. If site j has d particles larger than k and c particles of type k, then

$$f_j(k) = x_j^{-1} t^d \sum_{u=0}^{c-1} t^u$$

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For example: If site j contains the particles  $\{4, 3, 3, 1, 1, 1\}$ , then:

 $\begin{array}{ll} k = 1: & d = 3, & c = 3, & f_j(1) = x_j^{-1} t^3 (1 + t + t^2). \\ k = 3: & d = 1, & c = 2, & f_j(3) = x_j^{-1} t (1 + t). \\ k = 4: & d = 0, & c = 1, & f_j(4) = x_j^{-1}. \end{array}$ 

Very similar projection map as for the ASEP.

Given a filling σ, read the state τ ∈ TAZRP(λ, n) from the bottom row of σ as follows:

 $\tau_j$  is the multiset  $\{\lambda_i : \sigma(1, i) = j\}$ 

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• For example, for  $\lambda = (2, 1, 1)$  and n = 3, the following are all the tableaux that correspond to the state  $\tau = (21 | \cdot | 1)$ :



Theorem (Ayyer–M–Martin '21)

Fix  $\lambda$ , n. The (unnormalized) stationary probability of  $\tau \in \mathsf{TAZRP}(\lambda, n)$  is

$$\widetilde{\Pr}(\tau) = \sum_{\substack{\sigma: dg(\lambda) \to [n] \\ \sigma \text{ has type } \tau}} x^{\sigma} t^{quinv(\sigma)}.$$

Corollary

The so-called partition function of  $TAZRP(\lambda, n)$  is

$$\mathcal{Z}_{\lambda,n}(x_1,\ldots,x_n;t) = \widetilde{H}_{\lambda}(x_1,\ldots,x_n;1,t).$$

Proof: construction of a Markov chain on tableaux that lumps to the TAZRP.

an example for  $\lambda = (2, 1, 1)$  and n = 2

The stationary distribution is:

Example computation for (21 | 1):

the total is:  $\widetilde{\Pr}(21|1) = x_1^2 x_2(tx_1 + x_2)(1 + t).$ 

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$$\pi(\tau) = \frac{1}{\widetilde{H}_{(1^m)}(x_1,\ldots,x_n;1,t)} \begin{bmatrix} m \\ \tau_1,\ldots,\tau_n \end{bmatrix}_t \prod_{i=1}^n x_i^{\tau_i}$$

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#### Proposition (Current for the single species TAZRP)

For the single-species TAZRP on n sites with m particles, the current is given by

$$J = [m]_t \ \frac{\widetilde{H}_{(1^{m-1})}(x_1, \dots, x_n; 1, t)}{\widetilde{H}_{(1^m)}(x_1, \dots, x_n; 1, t)}$$

#### Theorem (Ayyer-M-Martin '22+)

Let  $\lambda = (1^{m_1}, \dots, k^{m_k})$ , and let  $1 \leq j \leq k$ . The current of the particle of type j of the TAZRP of type  $\lambda$  on n sites is given by

$$J = \left[m_j + \dots + m_k\right]_t \frac{\widetilde{H}_{\left(1^{m_j + \dots + m_k - 1}\right)}}{\widetilde{H}_{\left(1^{m_j + \dots + m_k\right)}}} - \left[m_{j+1} + \dots + m_k\right]_t \frac{\widetilde{H}_{\left(1^{m_{j+1} + \dots + m_k - 1}\right)}}{\widetilde{H}_{\left(1^{m_{j+1} + \dots + m_k\right)}}}$$

#### Densities

- Take TAZRP $(\lambda, n)$  with content  $\lambda = (1^{m_1}, 2^{m_2}, \dots, k^{m_k})$ .
- Define z<sub>j</sub><sup>(ℓ)</sup> to be the random variable counting the number of particles of type ℓ at site j in a configuration of TAZRP(λ, n).
- Denote the expectation in the stationary distribution by  $\langle z_i^{(\ell)} \rangle$ .

#### Theorem (Ayyer-M-Martin '22+)

For  $1 \leq \ell \leq k$ , the density of the  $\ell$ 'th species at site 1 is given by

$$\langle z_1^{(\ell)} \rangle = x_1 \partial_{x_1} \log \left( \frac{\widetilde{H}_{(1^{m_\ell} + \dots + m_k)}(x_1, \dots, x_n; 1, t)}{\widetilde{H}_{(1^{m_{\ell+1}} + \dots + m_k)}(x_1, \dots, x_n; 1, t)} \right)$$

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#### Corollary

$$\langle z_1^{(\ell)} \rangle$$
 is symmetric in the variables  $\{x_2, \ldots, x_n\}$ .

Fix λ, n, and 0 ≤ ℓ ≤ n, and let w be a configuration of the TAZRP on the first ℓ sites of type μ, where μ ⊆ λ.

### Local correlations

- Fix  $\lambda$ , n, and  $0 \le \ell \le n$ , and let w be a configuration of the TAZRP on the first  $\ell$  sites of type  $\mu$ , where  $\mu \subseteq \lambda$ .
- Let P<sub>λ,n</sub>(w) be the stationary probability of having exactly the content
   w<sub>1</sub>,..., w<sub>ℓ</sub> on sites 1,..., ℓ.

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- Let P<sub>λ,n</sub>(w) be the stationary probability of having exactly the content w<sub>1</sub>,..., w<sub>ℓ</sub> on sites 1,..., ℓ.
- Example: let λ = (2,2,1,1), n = 4, ℓ = 2, and w = (2|1).
   Configurations contributing to P<sub>λ,n</sub>(w) are

 $(2|1|12|\cdot), (2|1|1|2), (2|1|2|1), (2|1|\cdot|12)$ 

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Theorem (Ayyer-M-Martin '22)

 $\mathbb{P}_{\lambda,n}(\overline{w})$  is tobsymmetric in the variables  $\{x_{\ell+1}, \ldots, x_n\}$ .

### final remarks

• Explicit bijection from the inv to the quinv statistic?



#### (recently found by Loehr)

• Can we find a dynamical process that incorporates the q as a parameter?

This seems difficult because

- We lose factorization of  $\widetilde{H}_{\lambda}$
- We lose translation invariance
- Suitable quasisymmetric version of modified Macdonald polynomials? Nonsymmetric version?



Modified Macdonald polynomials and the multispecies zero range process: arXiv:2011.06117, arXiv:2209.09859