

# Computer algebra tools for solving combinatorial functional equations

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Joint works with

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# Combinatorics and functional equations



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Fixed-point type equation

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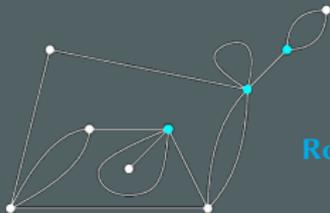
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$$G_u = 1 + ut \left( uG_u^2 + \frac{uG_u - G_1}{u-1} \right) = 1 + ut \left( uG_u^2 + u \frac{G_u - G_1}{u-1} + G_1 \right)$$

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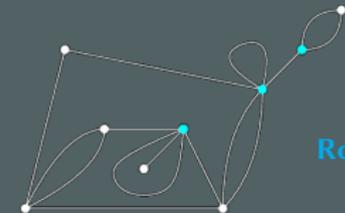
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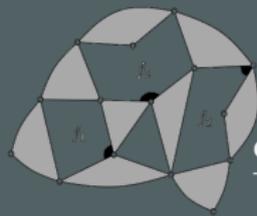
$$(2G + G_1) d_1^{(1)} G_u +$$

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3-constellations

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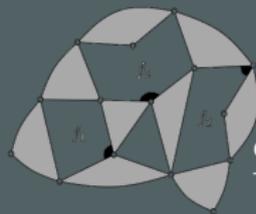
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3-constellations

Triangulations

Lattices

Albenque/Ménard/Schaeffer, Banderier/Flajolet, Bousquet-Mélou/Jéhanne, Temperley, Tutte, Zeilberger, [...]

# Functional equations and polynomials

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$$G_{\mathbf{u}} = \mathcal{U} + t\mathcal{Q}\left(G_{\mathbf{u}}, d_a^{(1)}G_{\mathbf{u}}, \dots, d_a^{(k)}G_{\mathbf{u}}, t, \mathbf{u}\right) \text{ with } \mathcal{U} \in \mathbb{Q}[\mathbf{u}] \text{ and} \\ \mathcal{Q} \in \mathbb{Q}[\gamma, \delta_1, \dots, \delta_k, t, \mathbf{u}]$$

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We have  $\mathcal{P}(\underbrace{G_u, g_1, \dots, g_k, t, u}_S) \equiv 0$  with  $\mathcal{P} \in \mathbb{Q}[\underbrace{\kappa, \gamma_1, \dots, \gamma_k}_\gamma, t, u]$

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If  $\exists U_1, \dots, U_k$  **distinct** fractional power series in  $t$  such that  $\frac{\partial \mathcal{P}}{\partial \kappa}(S(U_i)) = 0$  then

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➔ **All unknown series are algebraic**

✓ **These distinct series do exist**

Bousquet-Mélou/Jéhanne

✓ fixed-point type equation  $\implies \mathcal{A}$  such that  $\mathcal{A}(G_a, t) = \mathcal{A}(\gamma_1 = G_a, t) \equiv 0$

# Geometry of the problem (I)

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## Dimension

number of degrees of freedom one can move on the solution set

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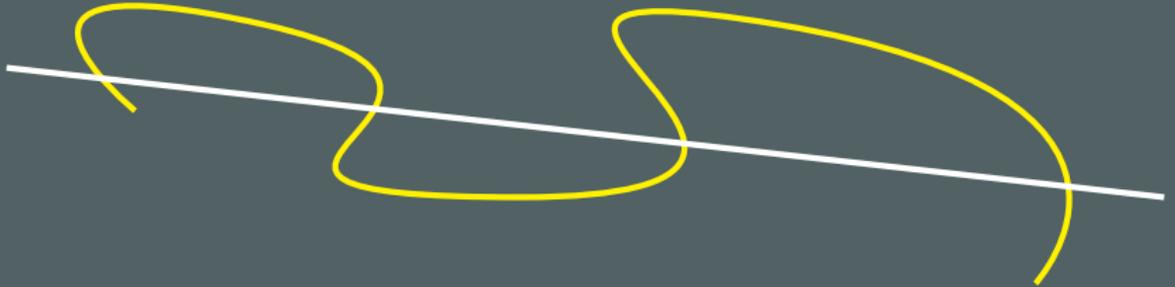
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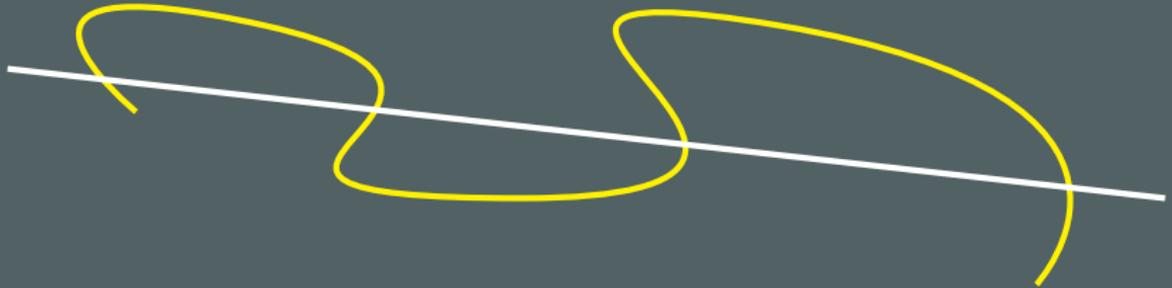
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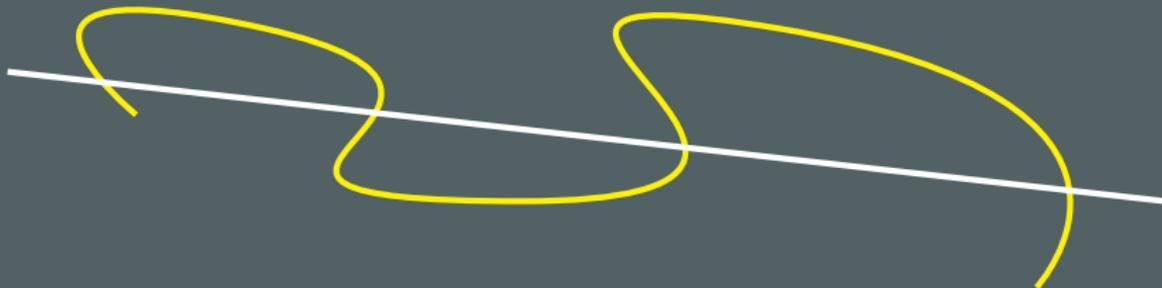
$$\mathcal{P} \in \mathbb{Q}(t)[\kappa, \underbrace{\gamma_1, \dots, \gamma_k}_{\underline{\gamma}}, u] \rightsquigarrow \dim(\text{Zeroes}(\mathcal{P}, \mathbb{K})) = k + 1$$

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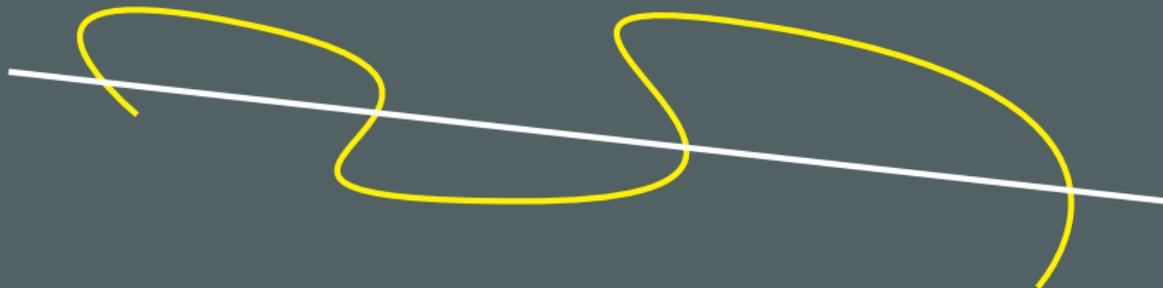


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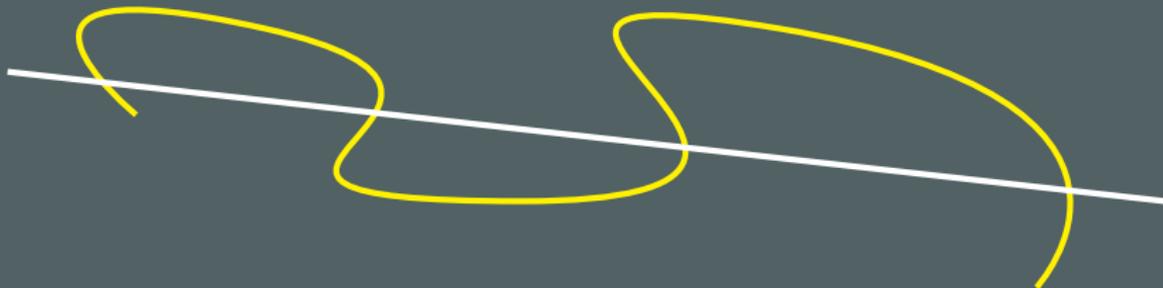
**?** For which set of values  $\mathbf{g}$  of  $\underline{\gamma}$ , are there  $k$  distinct  $u$ -coordinate solutions to

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Duplicate variables

Geometric methods

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Take 3 linear equations in  $\mathbb{Q}[\kappa, \gamma_1, u]$

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These subsets are **exceptional**

# Direct solving – Gröbner bases

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$$\begin{array}{lll} \mathcal{P}(\kappa_1, \underline{\gamma}, \underline{u}_1) = 0 & \mathcal{P}(\kappa_2, \underline{\gamma}, \underline{u}_2) = 0 & \mathcal{P}(\kappa_k, \underline{\gamma}, \underline{u}_k) = 0 \\ \frac{\partial \mathcal{P}}{\partial \kappa}(\kappa_1, \underline{\gamma}, \underline{u}_1) = 0 & \frac{\partial \mathcal{P}}{\partial \kappa}(\kappa_2, \underline{\gamma}, \underline{u}_2) = 0 & \dots\dots\dots \frac{\partial \mathcal{P}}{\partial \kappa}(\kappa_k, \underline{\gamma}, \underline{u}_k) = 0 \\ \frac{\partial \mathcal{P}}{\partial u}(\kappa_1, \underline{\gamma}, \underline{u}_1) = 0 & \frac{\partial \mathcal{P}}{\partial u}(\kappa_2, \underline{\gamma}, \underline{u}_2) = 0 & \frac{\partial \mathcal{P}}{\partial u}(\kappa_k, \underline{\gamma}, \underline{u}_k) = 0 \end{array}$$

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**Completion mechanism to discover hidden relations**

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**Completion mechanism to discover hidden relations**

$$\begin{array}{l} \kappa = 1 \\ \gamma_1^2 - \gamma_2^2 = 0 \\ \gamma_1 u - \gamma_2 = 0 \\ u^2 - 1 = 0 \end{array}$$

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**Completion mechanism to discover hidden relations**

$$\begin{array}{ll} \kappa_1 = 1 & \kappa_2 = 1 \\ \gamma_1^2 - \gamma_2^2 = 0 & \gamma_1^2 - \gamma_2^2 = 0 \\ \gamma_1 u_1 - \gamma_2 = 0 & \gamma_1 u_2 - \gamma_2 = 0 \\ u_1^2 - 1 = 0 & u_2^2 - 1 = 0 \end{array}$$

# Direct solving – Gröbner bases

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**Completion mechanism to discover hidden relations**

$$\kappa_1 = 1$$

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$$u_1^2 - 1 = 0$$

$$\kappa_2 = 1$$

$$\gamma_1^2 - \gamma_2^2 = 0$$

$$\gamma_1 u_2 - \gamma_2 = 0$$

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# Direct solving – Gröbner bases

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$$u_2^2 - 1 = 0$$

$$\gamma_1 u_1 - \gamma_2 = 0 \implies \gamma_1 u_1 u_2 - \gamma_2 u_2 = 0$$

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$$\kappa_1 = 1$$

$$\gamma_1^2 - \gamma_2^2 = 0$$

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$$\kappa_2 = 1$$

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# Direct solving – Gröbner bases

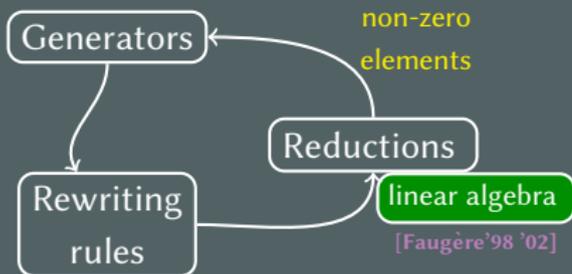
$$\begin{array}{lll}
 \mathcal{P}(\kappa_1, \underline{\gamma}, u_1) = 0 & \mathcal{P}(\kappa_2, \underline{\gamma}, u_2) = 0 & \mathcal{P}(\kappa_k, \underline{\gamma}, u_k) = 0 \\
 \frac{\partial \mathcal{P}}{\partial \kappa}(\kappa_1, \underline{\gamma}, u_1) = 0 & \frac{\partial \mathcal{P}}{\partial \kappa}(\kappa_2, \underline{\gamma}, u_2) = 0 & \dots \dots \frac{\partial \mathcal{P}}{\partial \kappa}(\kappa_k, \underline{\gamma}, u_k) = 0 \\
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## Completion mechanism to discover hidden relations

$$\begin{array}{l}
 \kappa_1 = 1 \\
 \gamma_1^2 - \gamma_2^2 = 0 \\
 \boxed{\gamma_1 u_1 - \gamma_2 = 0} \\
 u_1^2 - 1 = 0
 \end{array}$$

$$\begin{array}{l}
 \kappa_2 = 1 \\
 \gamma_1^2 - \gamma_2^2 = 0 \\
 \boxed{\gamma_1 u_2 - \gamma_2 = 0} \\
 u_2^2 - 1 = 0
 \end{array}$$

$$\begin{array}{l}
 \gamma_1 u_1 - \gamma_2 = 0 \implies \gamma_1 u_1 u_2 - \gamma_2 u_2 = 0 \\
 \gamma_1 u_2 - \gamma_2 = 0 \implies \gamma_1 u_1 u_2 - \gamma_2 u_1 = 0 \\
 \implies \gamma_2 u_2 - \gamma_2 u_1 = 0 \\
 \implies \gamma_2 = \gamma_1 = 0
 \end{array}$$



$$\begin{array}{l}
 \mathcal{A}(\gamma_1) \\
 \mathcal{A}_2(\gamma_1, \gamma_2) \\
 \vdots \\
 \mathcal{A}_k(\gamma_1, \dots, \gamma_k) \\
 \mathcal{A}_{u_1}(\gamma_1, \dots, \gamma_k, u_1) \\
 \vdots
 \end{array}$$

**Projection**

**Elimination**

# Computing Gröbner bases



# Computing Gröbner bases



# Computing Gröbner bases



# Computing Gröbner bases



**Gaussian elimination**

# Computing Gröbner bases



## Gaussian elimination

Bases of

$$(q_1, \dots, q_s) \rightarrow \sum_{i=1}^s q_i f_i$$

$$\deg(q_i f_i) \leq B$$

Macaulay map

# Computing Gröbner bases



## Gaussian elimination

Bases of  
 $(q_1, \dots, q_s) \rightarrow \sum_{i=1}^s q_i f_i$   
 $\deg(q_i f_i) \leq B$   
Macaulay map

## Characteristic polynomial

- 🏆 Algorithms and termination criteria
- 🏆 Generating (Hilbert) series of Macaulay maps + sparsity
- 🏆 Complexity in the **generic** case

# Computing Gröbner bases



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Macaulay map

## Characteristic polynomial

- 🏆 Algorithms and termination criteria
- 🏆 Generating (Hilbert) series of Macaulay maps + sparsity
- 🏆 Complexity in the **generic** case

**Generic case**  $\rightsquigarrow O\left(\binom{n+\mathbb{D}_{\text{reg}}}{n}^\omega + (\#\text{sols})^\omega\right)$

# Quantitative aspects

$n$  variables, degree  $d$

$$f_i = \ell_{i,1} \times \cdots \times \ell_{i,d}$$
$$1 \leq i \leq n$$

$$\{\ell_{1,j_1} = \cdots = \ell_{n,j_n} = 0\}$$
$$1 \leq j_k \leq d$$

$d^n$  solutions

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$d^n$  solutions

**Degree**

Take  $V \subset \mathbb{C}^n$  an algebraic set of dimension  $m$ .

number of intersection points of  $V$  with  $m$  generic hyperplanes

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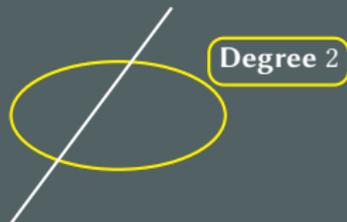
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Take  $V \subset \mathbb{C}^n$  an algebraic set of dimension  $m$ .

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**Degree 2**

# Quantitative aspects

$n$  variables, degree  $d$

$$f_i = \ell_{i,1} \times \cdots \times \ell_{i,d}$$
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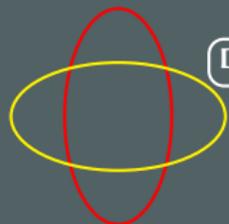
$$\{\ell_{1,j_1} = \cdots = \ell_{n,j_n} = 0\}$$
$$1 \leq j_k \leq d$$

$d^n$  solutions

**Degree**

Take  $V \subset \mathbb{C}^n$  an algebraic set of dimension  $m$ .

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Degree 4

# Quantitative aspects

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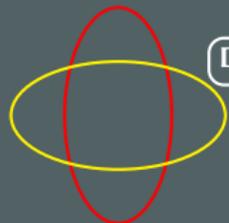
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Degree 4

**Bézout theorem**

$$\deg(V \cap W) \leq \deg(V) \times \deg(W)$$

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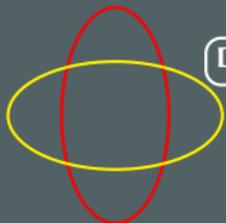
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Degree 4

**Bézout theorem**

$$\deg(V \cap W) \leq \deg(V) \times \deg(W)$$

**Duplication**

$$Z = \text{Zeroes} \left( \mathcal{P}, \frac{\partial \mathcal{P}}{\partial \kappa}, \frac{\partial \mathcal{P}}{\partial u} \right)$$

$$\deg(\underbrace{Z \times \cdots \times Z}_{k \text{ times}}) \leq \deg(Z)^k$$

# Quantitative aspects

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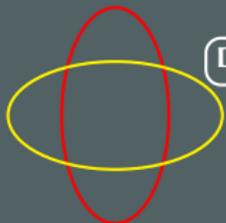
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**RPTU**

plain C library

Berthomieu, Eder, Neiger, S.

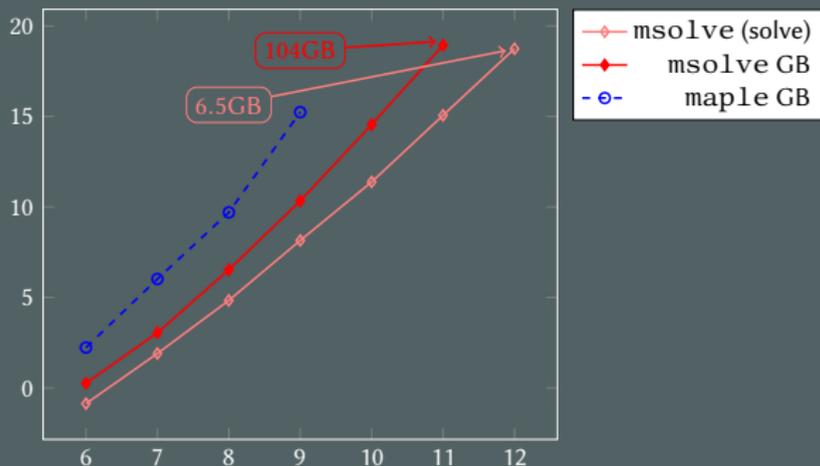
$\simeq 55\,000$  lines, license GPLv2+

uses **GMP** and **FLINT**

<https://msolve.lip6.fr>

<https://github.com/algebraic-solving/msolve>

# Quantitative aspects



RPTU

plain C library      Berthomieu, Eder, Neiger, S.

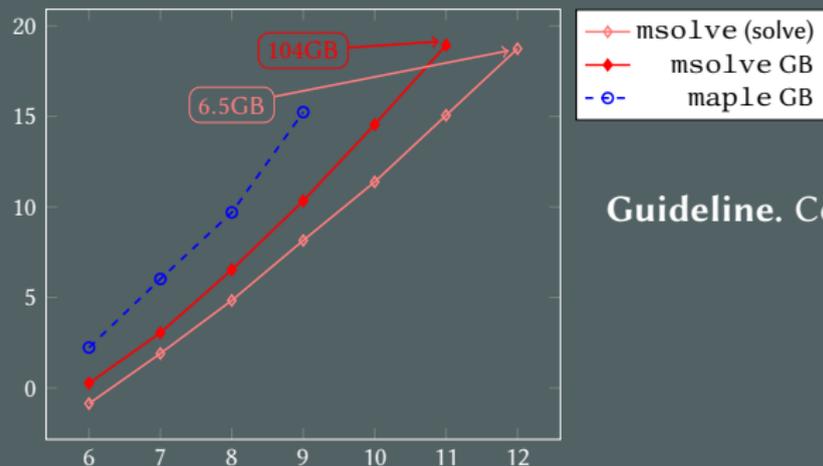
≈ 55 000 lines, license GPLv2+

uses **GMP** and **FLINT**

<https://msolve.lip6.fr>

<https://github.com/algebraic-solving/msolve>

# Quantitative aspects



**Guideline.** Compute only what you need(!)



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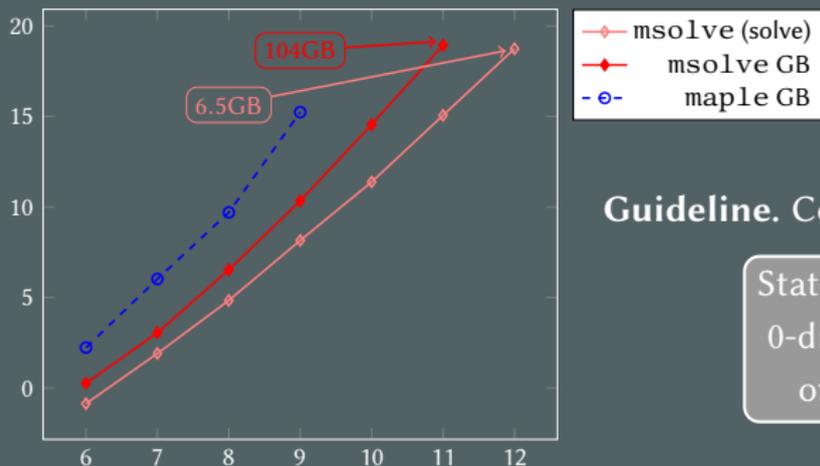
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# Quantitative aspects



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State-of-the-art handles  
0-dimensional systems  
of degree  $\simeq 10\,000$



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Let  $\delta$  be the degree of  $\mathcal{P}$ . Then, the degree of  $\mathcal{A}$  is dominated by  $\frac{\delta^{3k}}{k!}$ .

One can compute  $\mathcal{A}$  using

$$O\left(\delta^{6k} \left(k^2 + \delta^{k+3} + \frac{\delta^{1.89k}}{k!}\right)\right)$$

arithmetic operations.

Projection



Elimination

$$\gamma_1^2 - \gamma_2^2 = 0$$

$$\gamma_1 u - \gamma_2 = 0$$

$$\gamma_2 u - \gamma_1 = 0$$

$$u^2 - 1 = 0$$

$$\kappa = 1$$



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Projection on the  
 $(\gamma_1, \gamma_2, u)$ -space

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The Elimination and Extension Theorems



Gröbner bases  $\rightarrow$  Triangular system

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The Elimination and Extension Theorems



Gröbner bases  $\rightarrow$  Triangular system

Control on the cardinality of fibers  
counted with multiplicities

## The univariate case

Take  $f \in \mathbb{K}[u]$  of degree  $d$   
roots  $\{\mu_1, \dots, \mu_d\}$

$$V = \begin{bmatrix} 1 & \mu_1 & \cdots & \mu_1^{d-1} \\ \vdots & & & \vdots \\ 1 & \mu_d & \cdots & \mu_d^{d-1} \end{bmatrix}$$

$$V^T \cdot V = \begin{bmatrix} 1 & N_1 & \cdots & N_{d-1} \\ N_1 & N_2 & & N_d \\ \vdots & \vdots & \ddots & \vdots \\ N_{d-1} & N_d & \cdots & N_{2d-2} \end{bmatrix}$$

# Geometric method and root counting Bostan/Notarantonio/S.

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The multivariate case

$\mathcal{P}_1 = \cdots = \mathcal{P}_s = 0$   
in  $\mathbb{Q}(\gamma_1)[u, \gamma_2, \dots, \gamma_k]$   
defining a 0-dimensional set

Multivariate generalization  
of Hermite matrices

# Conclusions and perspectives

**DDESolver**

Maple package written by [Hadrien Notarantonio](#)

<https://github.com/HNotarantonio/ddesolver>

Example	$k$	time(dupl)	time(geo)
triangulation	2	55 secs	1 min. 10 secs
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4-tamari	3	2d. 2h.	6 min.

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**Bousquet-Mélou/Notarantonio**

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☞ Singularities of series depending with coefficients depending on a parameter

[Bousquet-Mélou/Notarantonio](#)

☞ Better algorithms for algebraic elimination?

↪ Critical point structure of  $\mathcal{P} = \frac{\partial \mathcal{P}}{\partial \kappa} = \frac{\partial \mathcal{P}}{\partial u} = 0$